

1 Modulations of aerosol impacts on cloud microphysics
2 induced by the warm Kuroshio Current
3 under the East Asian winter monsoon

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42 Key Points

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44 1. We found that cloud droplet number concentrations are higher when surface air
45 temperature (SST) – surface air temperature (SAT) is higher over the warm SST region.

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47 2. The observed high cloud droplet number concentrations resulted from enhanced
48 updraft velocities upon cold air outbreaks and high aerosol amounts.

49

50 3. The results suggest that warm SSTs affect aerosol-cloud interactions.

51

52

53 **Abstract**

54 In our previous aircraft observations, the possible influence of high sea surface
55 temperature (SST) along the Kuroshio Current on aerosol-cloud interactions over the
56 western North Pacific was revealed. The cloud droplet number concentration (N_c) was
57 found to increase with decreasing near-surface static stability (NSS), which was
58 evaluated locally as the difference between the SST and surface air temperature (SAT).
59 To explore the spatial and temporal extent to which this warm SST influence can be
60 operative, the present study analyzed N_c values estimated from Moderate Resolution
61 Imaging Spectroradiometer (MODIS) satellite measurements. The comparison of the
62 local N_c values between the high and low SST – SAT days revealed a marked increase
63 in N_c (up to a factor of 1.8) along the Kuroshio Current in the southern East China Sea,
64 where particularly high SST – SAT values (up to 8 K) were observed in winter under
65 monsoonal cold air outflows from the Asian Continent. This cold airflow destabilizes
66 the atmospheric boundary layer, which leads to enhanced updraft velocities within the
67 well-developed mixed layer and thus greater N_c . The monsoonal northwesterlies also
68 bring a large amount of anthropogenic aerosols from the Asian continent that increase
69 N_c in the first place. These results suggest that the same modulations of cloud
70 microphysics can occur over other warm western boundary currents, including the Gulf
71 Stream, under polluted cool continental airflows. Possibilities of influencing the cloud
72 liquid water path (CLWP) are also discussed.

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74

75 1. Introduction

76 The level of uncertainty regarding the effective radiative forcing due to
77 aerosol-cloud interactions reported in the 5th Assessment Report of the
78 Intergovernmental Panel on Climate Change (IPCC) [Boucher *et al.*, 2013] remains
79 comparable to that in the previous report (IPCC AR4) [Meehl *et al.*, 2007], which
80 indicates certain difficulties in quantifying the effect. Improved quantification requires
81 better understanding of the fundamental processes of aerosol-cloud interactions under
82 various atmospheric/oceanic conditions. The western North Pacific is characterized by
83 high anthropogenic aerosol emissions and thus high cloud droplet number
84 concentrations [e.g., Koike *et al.*, 2012]. This region is also characterized by high
85 sea-surface temperature (SST) along the Kuroshio Current (hereafter denoted by “the
86 Kuroshio”), which is the warm western boundary current of the North Pacific
87 subtropical oceanic gyre. This situation is in sharp contrast to the lower SST off the
88 west coasts of North and South America, where stratocumulus clouds persistently form
89 within the marine boundary layer (MBL), which is capped by a strong temperature
90 inversion. The warm Kuroshio and its Extension east of Japan have recently been
91 found to influence the MBL structure, cloud formation, and precipitation [e.g., Xie *et al.*,
92 2002; Tanimoto *et al.*, 2009; Tokinaga *et al.*, 2009; Taguchi *et al.*, 2009; Xu *et al.*, 2011;
93 Miyama *et al.*, 2012; Kawai *et al.*, 2015; Masunaga *et al.*, 2015, 2016].

94 In our previous studies based on in-situ aircraft data, we reported that warm
95 SST along the Kuroshio could also modulate the aerosol impacts on microphysical
96 properties of low-altitude non-precipitating water clouds [Koike *et al.*, 2012, hereafter
97 denoted by K12]. K12 showed that cold air outflow from the Asian continent toward
98 the East China Sea in early spring resulted in higher cloud droplet number

99 concentrations (N_c), especially over the Kuroshio, for the following two reasons. First,
100 the continental airflow carries large amounts of aerosols, leading to an immediate
101 increase in N_c ; this effect was confirmed by a clear positive correlation between N_c and
102 the accumulation-mode aerosol number concentration (N_a) below clouds. Second, the
103 dry, cold continental airflow destabilizes the MBL, especially over the warm Kuroshio,
104 leading to stronger updrafts and thus higher super saturations in clouds. In this
105 situation, even small aerosols, which typically are not activated as cloud droplets in
106 weaker updrafts, can be activated, leading to higher N_c . This mechanism was
107 suggested by a positive correlation between the N_c/N_a ratio and the difference between
108 the SST and the 950-hPa temperature (i.e., $SST - T_{950}$) defined as a measure of
109 near-surface static stability (NSS). Cloud thickness appeared to increase over the
110 Kuroshio under cold air outbreaks more than in other situations; we thus hypothesized
111 that the NSS determined by both SST and large-scale airflow can modulate both aerosol
112 impacts on cloud microphysics and the macro-structure of clouds, including the cloud
113 base and top altitudes, and thus the cloud liquid water path (CLWP).

114 Cold air outbreaks from the Asian Continent toward the western Pacific
115 frequently occur from late autumn to early spring and potentially affect cloud formation
116 and properties over the East China Sea. In this study, we analyzed N_c values estimated
117 from Moderate Resolution Imaging Spectroradiometer (MODIS, on board NASA's
118 Terra satellite) measurements between 2008 and 2012 to explore the spatial and
119 temporal extent of the warm SST mechanism mentioned above. Possible impacts on
120 the CLWP were also examined.

121 In section 2, we describe the data used in this study. In section 3.1, winter
122 averages of N_c and other related parameters are described. In sections 3.1 – 3.7, we

123 use the difference between the SST and the surface air temperature (SAT, i.e., SST –
124 SAT) as a measure of the NSS for comparison of N_c and other related parameters
125 between high and low SST – SAT days. A summary is given in section 4.

126

127

128 2. Data

129 In this study, we used the N_c values estimated from the MODIS-derived cloud
130 optical thickness (τ) and cloud effective radius (r_e) under the assumption of a vertical
131 structure of adiabatic water clouds [*Brenguier et al.*, 2000; *Szczodrak et al.*, 2001;
132 *Bennartz*, 2007]:

133

$$134 \quad N_c = \alpha \cdot \tau^{0.5} \cdot r_e^{-2.5} \quad (1)$$

135 where $\alpha = \left(\frac{5}{8\rho_w\pi^2} \right)^{\frac{1}{2}} \cdot k^{-1} \cdot C_w^{\frac{1}{2}}$, and ρ_w is the density of liquid water. The parameter

136 C_w is the moist adiabatic condensate coefficient, which weakly depends on air
137 temperature and pressure. Because C_w changes little within a thin cloud layer with a
138 depth of less than 1 km (uncertainties in the N_c estimate would be less than 10%), C_w
139 was calculated using the MODIS-derived cloud top temperature and pressure. The
140 parameter k in Eq. (1) reflects the shape of the cloud-droplet size distribution, and we
141 set $k = 0.71$ based on the in situ measurements in K12. For τ and r_e , we used the
142 values retrieved from the 0.64- μm and 3.7- μm band signals (MODIS/Terra Level 1B
143 Subsampled Calibrated Radiances, version C5) through an algorithm derived in
144 previous studies [*Nakajima and Nakajima*, 1995; *Kawamoto et al.*, 2001; *Nakajima et*
145 *al.*, 2010; *Nagao et al.*, 2013]. Because Eq. (1) was derived for adiabatic water clouds,
146 we selected only water clouds for our analysis on the basis of the MODIS
147 measurements (i.e., a cloud top temperature greater than 273.15 K) and only those
148 clouds without drizzle drops ($r_{2.1} < 14 \mu\text{m}$ following *Nakajima et al.* [2010], where $r_{2.1}$
149 is the cloud effective radius retrieved from the 2.1- μm band signal). The
150 non-precipitating water cloud selection was made for $0.1^\circ \times 0.1^\circ$ averages of τ and r_e ,

151 from which N_c values were derived by Eq. (1); then, $0.75^\circ \times 0.75^\circ$ averages of N_c were
152 taken correspondingly to the spatial resolution of the meteorological data as described
153 below. Although there are some uncertainties in the exact values of the N_c estimates,
154 their relative variations are considered reliable [e.g., *Painemal and Zuidema, 2011*].

155 The MODIS-derived fine-mode aerosol optical depth (AOD) at a wavelength
156 of $0.55 \mu\text{m}$ and $10 \times 10 \text{ km}^2$ resolution (MOD04_L2) [*Remer et al., 2005*] was used to
157 estimate the aerosol amount as a proxy for cloud condensation nuclei (CCN)
158 concentrations, and the $0.75^\circ \times 0.75^\circ$ averages were calculated. For the
159 meteorological data, including SAT, wind field, and surface sensible and latent heat
160 fluxes (SHF and LHF, respectively), the ERA-Interim global atmospheric reanalysis
161 dataset ($0.75^\circ \times 0.75^\circ$) [*Dee et al., 2011*] was used. We used 2-m air temperature data
162 at 00UTC for the SAT. Lower-tropospheric stability (LTS), defined as the difference
163 in the potential temperature (θ) between the 700-hPa level and the surface, was also
164 calculated from the data. Because the temperature data are available at pressure levels
165 at intervals of 25 hPa below the 800 hPa level, the thermal structure within the MBL is
166 therefore well represented. The SST data compiled in the ERA-interim reanalysis
167 dataset were also used where National Centers for Environmental Prediction (NCEP)
168 real-time global SST (NCEP RTG) and Operational SST and sea-ice analysis (OSTIA)
169 had been used before and after January 2009, respectively. The horizontal resolution
170 of the SST data used for the ERA-interim reanalysis since 2002 is high enough to
171 resolve meso-scale impacts of the fine-scale SST distribution associated with the
172 Kuroshio and its extension on the MBL [*Masunaga et al., 2015, 2016*].

173
174

175 3. Results and Discussion

176 3.1. Winter averages for 2009

177 Figure 1 shows N_c , AOD, SST, and SST – SAT averaged over the winter
178 months in 2009 (i.e., January, February, November, and December, 2009, hereafter
179 denoted by NDJF2009). Figure 1a shows that the N_c values (for non-precipitating
180 water clouds) were high over the Asian Continent and systematically and gradually
181 decreased from the coastal regions toward the Pacific. This pattern is generally
182 considered to reflect large emission sources of aerosols and their precursor gases over
183 the continent. In fact, the MODIS-derived fine-mode AOD also decreased offshore
184 with increasing distance from the coast (Figure 1b). (Note that all NDJF2009 data
185 were used for Figures 1b, 1c, and 1d, regardless of the presence/absence of clouds
186 within $0.75^\circ \times 0.75^\circ$ grid boxes). The NDJF2009 mean near-surface winds were
187 characterized by the monsoonal winds from Asia (Figure 1d) with the northwesterlies
188 prevailing over the ocean north of 30°N . Although not evident in this mean field,
189 westerly winds were occasionally observed further south (approximately 25°N); these
190 air streams efficiently brought continental air masses with high aerosol concentrations
191 into the maritime regions.

192 Figure 1c shows that the SST was systematically high along the Kuroshio axis
193 from the east of Taiwan to the east of southern Japan. The concentration of high SST
194 into the narrow Kuroshio Current was more evident in the daily SST distributions, and
195 the sharp SST gradient across the Kuroshio axis was slightly smoothed in the
196 winter-mean field because of a slight displacement in the Kuroshio axis. We defined
197 the “Kuroshio study area”, indicated in Figures 1a – 1d, as a parallelogram (23°N - 26°N ,
198 122°E and 28°N - 31°N , 130°E); statistical analyses were conducted for this area as

199 described below.

200 Figure 1d shows that the NDJF2009 averages of SST – SAT were generally
201 high along the Kuroshio (including the Kuroshio study area and its downstream), where
202 the SST – SAT values exceeded 4K and locally reached as high as 7K. The SST –
203 SAT values were also high over the continental marginal seas, including the Sea of
204 Japan and the Yellow and East China Seas, in addition to the northern portion of the
205 South China Sea. These high SST – SAT values were due to cold continental air
206 outflow onto the relatively warm maritime regions. In fact, the monthly mean SST –
207 SAT values within the Kuroshio study area were systematically higher during winter
208 (November through February) than during any other season. Although the SST was
209 lower in the Yellow Sea and the Sea of Japan than along the Kuroshio (Figure 1c), the
210 SAT was sufficiently low to yield high SST – SAT values.

211

212 **3.2. SST – SAT changes induced by daily meteorological conditions**

213 Figure 2a shows a map of local differences between the highest and lowest
214 daily SST – SAT values during NDJF2009 based only on data obtained when
215 non-precipitating water clouds were observed locally by MODIS, hereafter denoted by
216 $\Delta(\text{SST} - \text{SAT})$. We stress that “ Δ ” signifies the differences evaluated only under
217 non-precipitating water cloud conditions. If water clouds were observed on more than
218 10 days in a particular grid box ($0.75^\circ \times 0.75^\circ$) within a particular month, five-day
219 averages were calculated separately for the highest and lowest SST – SAT days and
220 their differences were calculated. If water clouds were observed on fewer than 10 days
221 during a given month, the corresponding averages were calculated separately for the
222 higher and lower halves from which $\Delta(\text{SST} - \text{SAT})$ was derived. The local

223 four-month averages for NDJF2009 were then calculated and are shown as $\Delta(\text{SST} -$
224 $\text{SAT})$ in Figure 2a. An area of particularly high $\Delta(\text{SST} - \text{SAT})$ was identified along
225 the Kuroshio, and $\Delta(\text{SST} - \text{SAT})$ values exceeded 4 K, reaching 7 K in the Kuroshio
226 study area. The highest $\Delta(\text{SST} - \text{SAT})$ value was observed to the north of Taiwan.
227 These features were seen in each of the winter months in 2009, although the high $\Delta(\text{SST}$
228 $- \text{SAT})$ region as well as its amplitude varied somewhat from one month to another.
229 These features were also seen in the winter months between 2008 and 2012, as shown in
230 Appendix A.

231 Table 1 shows the SST, SAT, and SST – SAT values averaged separately for
232 high and low SST – SAT days in NDJF2009 in the Kuroshio study area. The averages
233 were calculated for each month; then, four-month averages were calculated. This table
234 shows that the SST changed only slightly between the high and low SST – SAT days,
235 which means that the high $\Delta(\text{SST} - \text{SAT})$ values over the Kuroshio were primarily
236 related to large SAT contrasts between the high and low SST – SAT days (ΔSAT).
237 Figures 3a and 3b show the mean SAT and winds at 950 hPa on high and low SST –
238 SAT days, respectively, for NDJF2009. On the high SST – SAT days (i.e., low SAT
239 days, Figure 3a), the relatively strong northwesterlies or northerlies prevailed north of
240 25°N, carrying cold air mass from the continent. Because of its rather short travel time
241 after leaving the coast, a continental air mass can preserve its coolness over the
242 relatively cool ocean surface until reaching the warm Kuroshio. Because of this low
243 SAT, the SST – SAT values exhibited a clear maximum over the high SST area along
244 the Kuroshio. In contrast, calm wind conditions prevailed between 25°N and 35°N on
245 the low SST – SAT days (i.e., high SAT days, Figure 3b). Under these conditions, an

246 air mass, even of a continental origin, can warm up by heat exchange with the
247 underlying ocean because of a longer exposure time, especially over the warm Kuroshio.
248 As a result, the SST – SAT values over the Kuroshio were similar to those over other
249 regions. Because of the contrasts between the high and low SST – SAT days, the
250 $\Delta(\text{SST} - \text{SAT})$ values were particularly large over the warm Kuroshio.

251

252 **3.3. SST – SAT impact on N_c**

253 Figure 2b shows fractional differences in the N_c values ($\Delta N_c/N_c$, unit: percent)
254 of non-precipitating water clouds between the high and low SST – SAT days during
255 NDJF2009, where the fractional differences were calculated for each of the winter
256 months before the four-month average was taken. Monthly average N_c values in
257 individual grid boxes were used for the denominator of $\Delta N_c/N_c$. Areas with high
258 $\Delta N_c/N_c$ values generally correspond to high $\Delta(\text{SST} - \text{SAT})$ areas along the Kuroshio in
259 the southern portion of the East China Sea. In our Kuroshio study area, the N_c values
260 calculated on the high SST – SAT days were 30~80% greater than on the low SST –
261 SAT days ($\Delta N_c/N_c = 38\%$, on average, as shown in Table 2). In addition to the
262 Kuroshio area, both ΔN_c and $\Delta(\text{SST} - \text{SAT})$ tended to be high off the southern coast of
263 China in the northern part of the South China Sea. These features were also
264 recognized in other winter months between 2008 and 2012 (Appendix A). Moreover,
265 these features were also evident when the slope of the linear regression line
266 $(dN_c/N_c)/d(\text{SST}-\text{SAT})$ was calculated instead of $\Delta N_c/N_c$ values (Appendix B),
267 suggesting the robustness of the present analyses.

268 Because the N_c values were estimated from MODIS-derived cloud optical

269 thickness (τ) and cloud effective radius (r_e) using Eq. (1) (section 2), the contribution
270 from each of the two parameters ($\Delta N_c/N_c = 0.5\Delta\tau/\tau - 2.5\Delta r_e/r_e$) was assessed in Figures
271 2c and 2d. The contributions from τ ($0.5\Delta\tau/\tau$) and r_e ($-2.5\Delta r_e/r_e$) were generally
272 positive between 20°N and 28°N; thus both the parameters contributed to the increase in
273 $\Delta N_c/N_c$ values in the study area. In fact, Table 2 shows that, on average in the
274 Kuroshio study area, $0.5\Delta\tau/\tau$ and $-2.5\Delta r_e/r_e$ values were 17 and 28%, respectively,
275 suggesting that the contributions of these two parameters to $\Delta N_c/N_c$ were comparable.
276 Nevertheless, their relative importance tended to exhibit an apparent latitudinal
277 dependence. The contribution from r_e was generally positive and dominant over the
278 East China and Yellow Seas (Figure 2d). Contrastingly, the contribution from τ was
279 dominantly positive over the South China Sea and to the east of Taiwan (Figure 2c),
280 however it was negative over the cooler regions, including the Yellow Sea and the
281 northern portion of the East China Sea (Figure 2c). The latter features corresponded to
282 negative $\Delta CLWP/CLWP$ values over these regions, which were likely due to a
283 reduction in the total water amount in cold air as described later in section 3.6.

284 As discussed in K12, the high ΔN_c area along the Kuroshio in winter is likely
285 due to a combination of two factors. First, a cold, dry air mass originating from the
286 continent destabilized the atmospheric boundary layer over the warm Kuroshio, thus
287 yielding greater updraft velocities and hence greater N_c . Second, the continental air
288 mass brought large amounts of anthropogenic aerosols, which increased N_c . In fact,
289 ΔAOD (differences in the AOD between the high and low SST – SAT days for
290 NDJF2009) was generally positive south of 28°N (Figure 2e). Additionally, the low
291 temperatures of the continental air mass also influenced cloud formation to some extent

292 due to the temperature dependence of the saturation vapor pressure represented in the
293 Clausius – Clapeyron equation, as described in Appendix C.

294 To evaluate the impacts of aerosols and SST – SAT separately, the averaged N_c
295 values in the Kuroshio study area are shown separately for various ranges of SST – SAT
296 and AOD, on the basis of their daily $0.75^\circ \times 0.75^\circ$ data during NDJF2009 (Figure 4).
297 This figure shows that the N_c values generally increased with AOD. In each of the
298 AOD ranges, the N_c values also tended to increase with increasing SST – SAT, which is
299 consistent with the impact of NSS on updraft velocities. The N_c values increased by
300 40 – 80% with an 8 K increase in SST – SAT; this sensitivity was generally higher
301 (with a steeper slope in Figure 4) for higher AOD values. Moreover, the ratio of N_c
302 between low and high AODs ($N_c(\text{highAOD})/N_c(\text{lowAOD})$) was generally higher for
303 greater SST – SAT values; the ratio increased by a factor of 1.5 (at most) for high SST –
304 SAT conditions (9 K) compared with low conditions (1 K). Impacts of aerosols on N_c
305 thus tend to be enhanced under the conditions of higher SST – SAT, indicative of
306 possible influences of SST on aerosol – cloud interactions.

307 The results presented above are overall consistent with the in-situ
308 measurements and cloud parcel model calculations presented in K12, which showed
309 that a 12 K increase in the SST – T_{950} resulted in nearly doubled aircraft-derived N_c
310 values if normalized by the accumulation-mode aerosol number concentrations (N_a).
311 Through their air parcel model calculations (Figure 10 in K12), K12 also showed that
312 the aerosol impacts on N_c tended to be enhanced under higher updraft velocities (w); the
313 ratio $N_c(\text{high}_N_a)/N_c(\text{low}_N_a)$ was higher by a factor of 1.6 when $w = 120 \text{ cm s}^{-1}$
314 (corresponding to high SST – SAT) than when $w = 40 \text{ cm s}^{-1}$ (corresponding to low SST
315 – SAT). Under the low N_a conditions examined in K12, a large fraction of aerosols

316 was activated even under low updraft velocity, and an increase in the updraft velocity
317 therefore yielded only a small fractional increase in N_c compared with the high N_a
318 conditions.

319 We evaluated the relative contributions of SST – SAT and AOD to N_c
320 variations within the Kuroshio study area during NDJF2009. Although $\partial N_c / \partial(\text{AOD})$
321 depends on SST – SAT (and vice versa), we ignored cross-correlation terms and simply
322 calculated the linear regression coefficients between N_c and SST – SAT (for individual
323 AOD ranges) and between N_c and AOD (for individual SST – SAT ranges). Thus, the
324 regression coefficients simply correspond to partial derivatives, and $\partial N_c / \partial(\text{AOD}) = 320$
325 cm^{-3} and $\partial N_c / \partial(\text{SST} - \text{SAT}) = 18.8 \text{ cm}^{-3} \text{ K}^{-1}$ were obtained. Evaluations of the
326 standard deviations of AOD and SST – SAT within the Kuroshio study area during
327 NDJF2009 thus led to the estimates of 40 and 52 cm^{-3} for the standard deviations of N_c
328 caused solely by changes in AOD and SST – SAT, respectively ($\sigma_{N_c} = \partial N_c / \partial(\text{AOD})$
329 σ_{AOD} and $\sigma_{N_c} = \partial N_c / \partial(\text{SST} - \text{SAT}) \sigma_{\text{SST-SAT}}$). This result suggests that the
330 destabilization effect under cold air advection over the warm Kuroshio water plays a
331 comparable or even more important role in N_c variability than AOD changes. Thus,
332 high N_c values observed in the day-to-day variations over the East China Sea were not
333 only due to aerosol transport but also due to the presence of high SST, a distinctive
334 characteristic of the western Pacific.

335 In order to confirm the importance of NSS, we repeated the same evaluations
336 as above but for summer months of 2009, in which SST – SAT on average diminished
337 in our study area and its day-to-day variations were smaller than in the winter months.
338 As a consequence, N_c changes in association with SST – SAT changes were not evident
339 (not shown). The SST impacts on aerosol – cloud interaction are expected to be

340 prevalent for geographical locations and seasons where SST – SAT becomes strongly
341 positive under cold-air outbreaks.

342

343 **3.4. Correlation between SST – SAT and AOD**

344 The $\Delta N_c/N_c$ values were rather low along the Kuroshio off the southern coast
345 of Japan (approximately 30°N and 130 – 135°E, Figure 2b) despite high $\Delta(\text{SST} - \text{SAT})$
346 values (Figure 2a). In this region, the $\Delta\text{AOD}/\text{AOD}$ values were negative (Figure 2e),
347 suggesting that the effects of greater updrafts due to high SST – SAT values under the
348 northwesterly conditions tend to be offset by lower aerosol concentrations (low AOD),
349 thus leading to low ΔN_c values. In fact, the correlation coefficients between SST –
350 SAT and AOD were negative at latitudes north of 28°N (Figure 2f).

351 The lower aerosol concentrations associated with the northwesterlies on high
352 SST – SAT days resulted possibly from the transport of relatively clean air originating
353 from the area north of 40°N, where anthropogenic emissions are relatively low [e.g.,
354 *Streets et al.*, 2003]. Some of the aerosols being transported might also have been
355 removed by precipitation that tends to be organized under the cold-air outbreaks over
356 the Sea of Japan and the Yellow Sea, as can be confirmed by the ERA-Interim
357 re-analysis (not shown). On low SST – SAT days, by contrast, polluted air, possibly
358 of local origin, may have stagnated off the southern coast of Japan under the calm wind
359 conditions, leading to the negative ΔAOD values in this region.

360

361 **3.5. Boundary layer structure and surface heat fluxes**

362 Figure 5 shows the vertical profiles of the potential temperature separately

363 averaged within the Kuroshio study area for the high and low SST – SAT days based on
364 the ERA-Interim. The arrow shows the average SST, which differed only slightly
365 between the high and low SST – SAT days (0.02 K, Table 1). The average potential
366 temperature profile for the high SST – SAT days is almost vertically uniform below the
367 900-hPa level (approximately 1 km), which indicates enhanced vertical mixing within
368 the well-developed unstable mixed layer under the unstable NSS condition. In contrast,
369 the MBL was more stable on the low SST – SAT days, which led to less efficient
370 vertical mixing and therefore a much shallower mixed layer. These results are
371 consistent with our hypothesis that high SST – SAT destabilized the lower troposphere,
372 giving rise to stronger vertical motion within the well-developed mixed layer.

373 Figure 6a shows the local differences in the mean SHF between the high and
374 low SST – SAT days (Δ SHF) based on the ERA-Interim dataset for NDJF2009.
375 Similar to the other Δ values, the Δ SHF values were only based on the data when and
376 where non-precipitating water clouds were observed. The spatial distribution of Δ SHF
377 was similar to that of Δ (SST – SAT); its high values ($80 - 120 \text{ W m}^{-2}$) were near the
378 Kuroshio primarily because SHF is approximately proportional to SST – SAT.
379 Additionally, SHF is proportional to surface wind speed, which tends to be greater under
380 high SST – SAT conditions due to enhanced vertical mixing of wind momentum [e.g.,
381 *Wallace et al.*, 1989; *Tokinaga et al.*, 2009]. At the same time, the enhanced SHF
382 acted to destabilize the MBL, contributing to the enhancement of the turbulent mixing
383 and thus the development of the mixed layer.

384 Figure 6b shows that the mean local LHF differences between the high and low
385 SST – SAT days (Δ LHF) for NDJF2009 were also particularly large ($160 - 280 \text{ W m}^{-2}$,

386 with a Bowen ratio $\Delta\text{SHF}/\Delta\text{LHF}$ of 0.4 – 0.5) over the warm Kuroshio. The LHF is
387 proportional to the air-sea moisture difference, in which high SST has a positive
388 contribution. Because of the strong temperature dependence of the saturated specific
389 humidity, the LHF tends to be particularly large over the Kuroshio, especially under
390 outbreaks of cold, dry continental air. With the abundant moisture supply from the
391 warm Kuroshio, enhanced latent heat release during cloud formation can strengthen
392 uplifting at the cloud base. Although a quantification of the relative importance of the
393 contributions from the SHF and LHF is beyond the scope of the present study, warm
394 SST enhances the turbulent heat fluxes, which can contribute to the formation of a
395 well-mixed boundary layer and strong updrafts.

396

397 **3.6. Changes in cloud liquid water path**

398 Cloud liquid water path (CLWP) can be estimated by assuming a vertical
399 structure of adiabatic water clouds [*Brenguier et al.*, 2000; *Szczodrak et al.*, 2001;
400 *Bennartz, 2007*]:

$$401 \quad CLWP = \frac{5\rho_w}{9} \cdot \tau \cdot r_e \quad (2)$$

402 where ρ_w is the density of liquid water. Using the same MODIS data set used for
403 estimating N_c for non-precipitating water clouds, the corresponding winter averages
404 (NDJF2009) of CLWP and $\Delta\text{CLWP}/\text{CLWP}$ (the differences between high and low SST
405 – SAT days normalized by averages) were estimated (Figures 7a and 7b, respectively).
406 Figure 7a shows that the CLWP over the ocean was generally greater over the southern
407 portion of the East China Sea. Markedly high CLWP values exceeding 70 g m^{-2} were
408 seen in northeast of Taiwan along the Kuroshio (the Kuroshio study area). This

409 geographical tendency is consistent with previous studies based on satellite-based
410 microwave observations [e.g., *O'Dell et al.*, 2008]. Their estimation of CLWP values,
411 however reached as much as 150 to 250 g m⁻² over the Kuroshio, which is twice to three
412 times greater than our estimation. This discrepancy is likely because only
413 non-precipitating clouds were selected in our estimation.

414 Figure 7b shows that the spatial distribution of the $\Delta\text{CLWP}/\text{CLWP}$ values was
415 generally similar to that of $\Delta\tau/\tau$ (Figure 2c) and $\Delta\text{AOD}/\text{AOD}$ (Figure 2e), and they were
416 relatively high over the Kuroshio study area. Table 1 shows that the average CLWP
417 was greater by 41% on high SST – SAT days compared with values on low SST – SAT
418 days, although the standard deviations were large.

419 Figure 7c shows a scatter plot between CLWP and SST – SAT using all 0.75°
420 × 0.75° data (non-precipitating water clouds) obtained within the Kuroshio study area
421 during NDJF2009. Overall no clear correlation was found between the two parameters,
422 except a slight tendency for the CLWP values to increase with increasing SST – SAT
423 (the black line, for SST – SAT < 8 K). This tendency arose mostly from data, in which
424 the CLWP exceeded 80 g m⁻² (red line), where a 40% increase was found in association
425 with an increase in SST – SAT of 8 K. Although not shown here, no clear dependence
426 on AOD was found in these tendencies: quite similar tendencies were found when the
427 data in different AOD ranges were examined (as was done for N_c , shown in Figure 4).

428 The mechanisms for inducing the observed slight CLWP increase with
429 increasing SST – SAT are not understood. The CLWP can be affected by the
430 large-scale meteorological conditions as well as various cloud dynamical/microphysical
431 processes. For example, negative ΔCLWP values north of 30°N are likely due to a
432 reduction in the total water amount in cold air mass (as expected from the Clausius –

433 Clapeyron equation). The cold air outbreak can also affect the CLWP through
434 modifying the MBL structure. Although analyses of satellite data suggested that
435 aerosols could affect the CLWP [e.g., *Lebsock et al.*, 2008; *Quaas et al.*, 2008; *Chen et*
436 *al.*, 2014], these influences are considered to depend on large-scale meteorological
437 conditions and aerosol loading. It is beyond the scope of this study to examine SST
438 impacts on the CLWP and aerosol–CLWP relationship under different meteorological
439 conditions, and further analyses focusing on these parameters should be performed in
440 the future.

441

442 **3.7. Relationship with lower-tropospheric stability**

443 Lower-tropospheric stability (LTS), defined as the difference in the potential
444 temperature (θ) between the 700-hPa level and the surface [*Klein and Hartmann*, 1993],
445 has been widely used as a measure of the temperature inversion strength for
446 investigating relationships with low-level cloud parameters [e.g., *Wood and Bretherton*,
447 2006]. The NSS (evaluated by SST – SAT) introduced in this study is a possible
448 measure of turbulence activity in the boundary layer, whereas the LTS is a possible
449 measure of trapping strength of moist air that may lead to an increase in cloudiness.
450 Using the global satellite observations of clouds from the Tropical Rainfall Measuring
451 Mission (TRMM), *Matsui et al.* [2006] showed that the cloud droplet effective radius
452 (r_e) is negatively correlated with the LTS over most of the globe, although the
453 underlying mechanisms were not discussed. Under a fixed cloud liquid water content,
454 smaller r_e values correspond to higher N_c values. Therefore, the results of *Matsui et al.*
455 [2006] suggest a positive correlation between N_c and LTS.

456 Figure 8 shows the mean local LTS differences between the high and low SST

457 – SAT days (ΔLTS), calculated using the ERA-Interim data for NDJF2009 only under
458 non-precipitating water cloud conditions. Within the Kuroshio study area, a positive
459 signal (0 – 4 K) was found, indicative of a positive correlation with N_c (c.f., Figure 2b).
460 Even higher ΔLTS values (3 – 5 K) were found just off the central coast of China, a
461 region slightly to the west of the Kuroshio study area, and off the southern coast of
462 China. The positive ΔLTS values in these regions were likely to occur because cold
463 air advection near the surface on high SST – SAT days tends to decrease the potential
464 temperature more at the surface than at the 700-hPa level (Figure 5) under increased
465 mid-tropospheric subsidence, leading to an increase in LTS. The decreasing positive
466 ΔLTS values away from the coast probably were due to warming of the continental
467 airflow by increased heat release ($\Delta SHF > 0$) from the sea surface (Figure 6a).
468 Consequently, both the higher N_c and LTS values near the Kuroshio in the East China
469 Sea were induced by the cold northwesterlies, although their positive correlation
470 indicates no cause-and-effect relationship between the two parameters.

471 Except for the continental marginal seas described above (the Kuroshio study
472 area and coastal regions), ΔLTS values were generally positive and negative at latitudes
473 south and north of 27°N, respectively. The regions of negative ΔLTS values to the
474 south of Japan generally corresponded to those of negative $\Delta N_c/N_c$ values (Figure 2b),
475 which is also indicative of a positive correlation between those two parameters (LTS
476 and N_c). As described in section 3.4, the negative $\Delta N_c/N_c$ values were likely related to
477 the transport of clean air, whereas the negative ΔLTS values were likely due to cold air
478 transport that extended above the 700 hPa level and surface heating by the warm
479 Kuroshio. Again, this positive correlation indicates no cause-and-effect relationship.

480 There may be several other mechanisms that could yield some correlation between N_c
481 and LTS (or r_e and LTS) over the globe, especially if precipitating clouds are included
482 in the analyses. For non-precipitating clouds, N_c is primarily controlled by the aerosol
483 number concentration, updraft velocity, and entrainment/evaporation. The
484 mechanisms presented here are two of the possible mechanisms for the findings
485 obtained from in global satellite observations.

486

487

488 **4. Summary**

489 In the present study the MODIS-derived cloud droplet number concentration
490 (N_c) is analyzed to identify the impacts of the warm Kuroshio Current on the
491 aerosol-cloud interaction that was suggested by our previous aircraft measurements
492 (K12). For non-precipitating water clouds, the N_c difference between high and low
493 SST – SAT days ($\Delta N_c/N_c$) in winter (January, February, November, and December
494 2009) is found to be systematically larger around the Kuroshio in the East China Sea
495 than over other maritime areas in the western North Pacific. This enhancement in
496 $\Delta N_c/N_c$ around the Kuroshio is also observed in statistics for five winters between 2008
497 and 2012, although the degree of the enhancement varies from one winter to another
498 depending on the strength of the cold monsoonal northwesterlies.

499 The $\Delta N_c/N_c$ enhancement over the Kuroshio is likely explained by the two
500 factors that were proposed in our previous study (K12). First, the northwesterly winds
501 bring large amounts of aerosols from the continent, leading to an immediate increase in
502 N_c . Second, those outbreaks of cold continental air mass result in destabilization of the
503 MBL, leading to large uplifting velocities with more vigorous MBL turbulence and
504 therefore a greater super-saturation level in the uplifted air parcels and thereby a further
505 increase in N_c . These two mechanisms have been recognized as the positive
506 correlations between N_c and AOD and between N_c and SST – SAT. Over the warm
507 Kuroshio in the East China Sea, enhancement in N_c by a factor of 1.4 to 1.8 is observed
508 in association with an increase of SST – SAT up to 8 K. Furthermore, the ratio
509 $N_c(\text{highAOD})/N_c(\text{lowAOD})$ tends to be higher under greater SST – SAT conditions,
510 suggesting a tendency for the aerosol impacts on cloud droplet number concentration to
511 be greater under higher SST conditions. Comparison of the relative contributions

512 between SST – SAT and AOD to N_c variations around the Kuroshio in the East China
513 Sea indicates that the destabilization effect under cold air advection plays a comparable
514 or even greater role in the N_c variabilities.

515 The particularly large $\Delta(\text{SST} - \text{SAT})$ values observed around the Kuroshio in
516 the East China Sea (relative to other areas) are mostly due to large ΔSAT values
517 between high and low SST – SAT days. On high SST – SAT (i.e., low SAT) days, a
518 cold, dry continental air mass is transported toward the warm Kuroshio within a short
519 time period (approximately one day) with small increase in SAT, leading to
520 systematically high SST – SAT over the Kuroshio. In contrast, on low SST – SAT
521 (i.e., high SAT) days, the SST – SAT values are comparable between the Kuroshio and
522 other areas under the calm condition, resulting in thermal adjustments of SAT more
523 closely toward SST.

524 On the high SST – SAT days, the mixed layer tends to well develop over the
525 warm Kuroshio under the cold air advection near the surface. The ΔSHF and ΔLHF
526 reached 80 – 120 and 160 – 280 W m^{-2} , respectively, and both the heat and moisture
527 fluxes likely contributed to the mixed-layer development and enhanced uplifting of
528 moist air mass at the cloud base, leading to higher N_c . The CLWP values are also
529 higher over the Kuroshio and they appear to increase slightly with SST – SAT (positive
530 ΔCLWP) especially when $\text{CLWP} > 80 \text{ g m}^{-3}$. However, SST impacts on CLWP as
531 well as aerosol-CLWP relationship are not conclusive in this study, because CLWP can
532 be affected by various meteorological parameters/processes.

533 The aforementioned impacts of the warm SST on cloud microphysics over the
534 western Pacific revealed in this study can potentially be operative in other maritime
535 areas where SST – SAT becomes strongly positive under polluted continental airflow,

536 such as around the Gulf Stream in the North Atlantic. As the next step, quantitative
537 evaluations of the mechanisms described in this study should be conducted using
538 numerical model calculations.

539

540

541 **Appendix A**

542 To substantiate the findings of the impacts of SST on cloud microphysics
543 derived from the single-year data (NDJF2009), here we present the corresponding
544 statistics for five winters between 2008 and 2012 (denoted by NDJF 2008-2012).
545 Figures A1a – A1c are the same as Figures 2a, 2b, and 2e ($\Delta(\text{SST} - \text{SAT})$, $\Delta N_c/N_c$, and
546 $\Delta\text{AOD}/\text{AOD}$) but for the NDJF 2008-2012 data. As in the NDJF2009 analyses, the
547 differences were first calculated for individual winter months before taking the
548 20-month averages. The overall similarities are obvious between the statistics based
549 on the 2009 data and the five-year data. Both $\Delta(\text{SST} - \text{SAT})$ and $\Delta N_c/N_c$ maximize to
550 the northeast of Taiwan around the Kuroshio, although the peak values are reduced in
551 the five-winter average because of year-to-year variations in the precise locations of the
552 maxima.

553 Figure A1d is the same as Figure 4 but for the NDJF 2008-2012 data. The N_c
554 values generally increase with increasing both $\text{SST} - \text{SAT}$ and AOD, as seen in the
555 NDJF2009 data. Obviously, the regional impacts of the warm Kuroshio on cloud
556 microphysics are observed every winter.

557

558

559 **Appendix B**

560 In previous studies, aerosol impacts on cloud microphysics were generally
561 evaluated by taking partial derivative (a slope of a linear regression line derived using
562 all data) of two quantities (e.g., $d \ln N_c / d \ln AOD$, *Feingold et al.*, 2001; *Chen et al.*,
563 2014), whereas differences between two subsets ($\Delta N_c / N_c$ between high and low SST –
564 SAT days) were evaluated in this study. To verify the consistency between these two
565 methods, a normalized slope of a regression line between N_c and SST–SAT,
566 $(dN_c / \langle N_c \rangle) / d(SST - SAT)$, was calculated for individual $0.75^\circ \times 0.75^\circ$ grid boxes by
567 using all daily data during NDJF2009 (non-precipitating water clouds). $\langle N_c \rangle$ is the
568 four-month average in individual grid boxes. Figure B1a shows the slopes ($\% K^{-1}$),
569 whereas Figure B1b shows the slopes multiplied by $\Delta(SST - SAT)$, namely,
570 $[(dN_c / \langle N_c \rangle) / d(SST - SAT)] \Delta(SST - SAT)$ (%), where $\Delta(SST - SAT)$ is the difference in
571 SST–SAT between high and low SST–SAT days shown in Figure 2a. Figure B1b
572 shows that the spatial pattern of $[(dN_c / \langle N_c \rangle) / d(SST - SAT)] \Delta(SST - SAT)$ values was
573 overall similar to that of $\Delta N_c / N_c$ shown in Figure 2b. High values were located along
574 the Kuroshio in the southern portion of the East China Sea (to the northeast of Taiwan)
575 and off the southern coast of China (approximately $20^\circ N$ and $110 - 120^\circ E$). This
576 result indicates that the methods adopted in this study are generally consistent with
577 those in previous studies, and the results presented in this study using ΔN_c are robust.

578 Figure B1a shows that the slope, $(dN_c / \langle N_c \rangle) / d(SST - SAT)$, is generally high
579 over the Kuroshio, however this feature is less pronounced compared with that around
580 $20^\circ N$. This result indicates that high $\Delta(SST - SAT)$ values over the Kuroshio
581 contributed to the high $[(dN_c / \langle N_c \rangle) / d(SST - SAT)] \Delta(SST - SAT)$ values in that region.

582 The higher $(dN_c/\langle N_c \rangle)/d(\text{SST-SAT})$ values around 20°N were likely due to higher
583 AOD values on high SST-SAT days as shown in Figure 2e.

584 Likewise, Figure B1c shows the spatial pattern of $[(d\text{AOD}/\langle \text{AOD} \rangle)$
585 $/d(\text{SST-SAT})]$ $\Delta(\text{SST-SAT})$ (%) values, which was again overall similar to that of
586 $\Delta\text{AOD}/\text{AOD}$ shown in Figure 2e. This result also suggests the robustness of the
587 results presented in this study. The increase in the Kuroshio study area was less
588 pronounced for $(d\text{AOD}/\langle \text{AOD} \rangle)/d(\text{SST-SAT})$ values as for N_c .

589

590

591 **Appendix C**

592 Because of the temperature dependence of water saturation vapor pressure (the
593 Clausius – Clapeyron equation), the low temperature of outflow from the continent
594 affects the supersaturation level at the cloud base. Curvature effect on equilibrium
595 vapor pressure of water droplet also depends slightly on temperature. These air
596 temperature effects were evaluated by using the cloud parcel model (box model)
597 described by *Feingold and Heymsfield* [1992], which was also used by K12. We used
598 an aerosol size distribution (shown in Figure 10a in K12) as typical for polluted air.
599 Vertical velocity was fixed as 80 cm s^{-1} , as typically observed upon cold air outbreaks
600 (K12). Calculations were conducted for various initial values of 950-hPa temperature
601 between 4 and 20°C, covering SAT variations around the Kuroshio study area.

602 The model experiment shows that the maximum supersaturation of an uplifted
603 air parcel tends to increase with decreasing initial near-surface temperature and thus N_c
604 tends to increase for a given aerosol number density. Because ΔSAT was 5 – 7K in
605 the Kuroshio study area for NDJF2009, this temperature effect can increase N_c by 6 –
606 9% between high and low SST – SAT days. As described in Section 3.3, ΔN_c values
607 are 30 – 80% of N_c values (Figure 2b) and more than half of this is due to the SST –
608 SAT effect (the rest was due to the aerosol effect). On the basis of these facts, the air
609 temperature effects may be approximately 20% of the SST – SAT effect.

610

611

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623 available from the authors upon request. The MODIS AOD data are available from
624 <http://ladsweb.nascom.nasa.gov>. The SST, SAT, SHF, LHF, and wind used data are
625 the ERA-Interim global atmospheric re-analysis data, which are available from
626 http://data-portal.ecmwf.int/data/d/interim_full_daily/.

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628

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731

732

733 **Figure Captions**

734 Figure 1. Winter averages in 2009 (January, February, November, and December
735 2009, denoted by NDJF2009): (a) MODIS-derived cloud droplet number
736 concentration, N_c , for non-precipitating water clouds based on Eq. (1); (b)
737 MODIS-derived fine-mode aerosol optical depth (AOD); (c) Sea surface
738 temperature (SST); (d) $SST - SAT$, where SAT is surface air temperature.
739 Time-mean 950-hPa winds are superimposed. For Figures 1b, 1c, and 1d, all
740 data are used, irrespective of presence/absence of clouds within $0.75^\circ \times 0.75^\circ$
741 grid boxes. The parallelogram domain (23°N - 26°N , 122°E and 28°N - 31°N ,
742 130°E) shown in each panel indicates the “Kuroshio study area” defined in this
743 study, for which the detailed statistical analyses are carried out (Tables 1 and 2).

744 Figure 2. (a) Local differences between the high and low daily $SST - SAT$ values in
745 NDJF2009 (denoted as $\Delta(SST - SAT)$), based only on data obtained when
746 non-precipitating water clouds were observed locally by MODIS. Monthly
747 averages had been calculated first before four-month averages were taken. (b)
748 Fractional differences in N_c values ($\Delta N_c/N_c$) for non-precipitating water clouds
749 between the high and low $SST - SAT$ days in NDJF2009. (c) Same as (b) but
750 for the contribution from the cloud optical thickness τ to $\Delta N_c/N_c$ ($0.5 \Delta\tau/\tau$
751 because of the τ dependence of N_c given in eq. (1)). (d) Same as (b) but for the
752 contribution from the cloud effective radius r_e to $\Delta N_c/N_c$ ($-2.5 \Delta r_e/r_e$ because of
753 the r_e dependence of N_c given in eq. (1)). (e) Difference in fine-mode AOD
754 ($\Delta\text{AOD}/\text{AOD}$) between the high and low $SST - SAT$ days in NDJF2009. (d)
755 Local correlation coefficient between daily values of $SST - SAT$ and AOD in

756 NDJF2009.

757 Figure 3. SAT and 950-hPa wind on (a) high and (b) low SST – SAT days in
758 NDJF2009. Monthly averages had been calculated first before four-month
759 averages were taken.

760 Figure 4. Relationship between N_c and SST – SAT for individual AOD ranges based
761 on daily $0.75^\circ \times 0.75^\circ$ data in the “Kuroshio study area” (parallelogram area
762 shown in Figures 1 - 3) in NDJF2009. Averages are shown where more than
763 10 samples are available. Total numbers of samples for AOD ranges <0.1 ,
764 $0.1-0.15$, $0.15-0.2$, $0.2-0.3$, $0.3-0.5$, and >0.5 are 353, 353, 330, 290, 203, and 34,
765 respectively, while total numbers of samples for SST – SAT ranges 0-2, 2-4, 4-6,
766 6-8, 8-10 K are 208, 432, 382, 257, and 153, respectively. Vertical bars
767 indicate standard deviations divided by the square root of the sample numbers,
768 corresponding to standard deviations of estimated averages.

769 Figure 5. Vertical profiles of potential temperature in the Kuroshio study area
770 averaged separately for high (red) and low (blue) SST – SAT days. The arrow
771 shows the average SST in the area.

772 Figure 6. As in Figure 2a, but for (a) the differences in surface sensible heat flux
773 (SHF) between the high and low SST – SAT days in NDJF2009 (Δ SHF); (b)
774 Same as (a) but for the differences in surface latent heat flux (Δ LHF).

775 Figure 7. (a) As in Figure 1a, but for cloud liquid water path (CLWP). (b) As in
776 Figure 2b, but for CLWP (Δ CLWP/CLWP). (c) Scatter plot between CLWP
777 and SST – SAT based on all $0.75^\circ \times 0.75^\circ$ data (non-precipitating water clouds)
778 obtained in the Kuroshio study area in NDJF2009 (gray circles). Averages are
779 also shown for all data (black line) and data only with $CLWP > 80 \text{ g m}^{-3}$ (red

780 line). (A few data points with CLWP > 300 g m⁻³ were removed before taking
781 the averages). Vertical bars indicate standard deviations divided by the square
782 root of the sample numbers, corresponding to standard deviations of estimated
783 averages. The bars are generally very small and fall within the closed circles.

784 Figure 8. As in Figure 2a, but for the differences in lower-tropospheric stability (LTS)
785 between the high and low SST – SAT days in NDJF2009 (Δ LTS). LTS is
786 defined as the difference in potential temperature between 700 and 1000 hPa
787 levels ($\theta_{700} - \theta_{1000}$).

788

789 Figure A1. Five-year winter climatology between 2008 and 2012 (NDJF 2008-2012).

790 (a) – (c) As in Figures 2a, 2b, and 2e, respectively, but for NDJF 2008-2012
791 data; (d) As in Figure 4 but for NDJF 2008-2012 data.

792 Figure B1. (a) A slope of a regression line between N_c and SST–SAT
793 ($dN_c / \langle N_c \rangle / d(\text{SST} - \text{SAT})$) evaluated locally for individual $0.75^\circ \times 0.75^\circ$ grid
794 boxes using all daily data in NDJF2009 (non-precipitating water cloud). $\langle N_c \rangle$
795 is the four-month average in individual grid boxes. (b) Same as (a) but for
796 $[(dN_c / \langle N_c \rangle) / d(\text{SST} - \text{SAT})] \Delta(\text{SST} - \text{SAT})$. (c) Same as (a) but for
797 $(d\text{AOD} / \langle \text{AOD} \rangle) / d(\text{SST} - \text{SAT})$. (d) Same as (a) but for $[(d\text{AOD} / \langle \text{AOD} \rangle)$
798 $/ d(\text{SST} - \text{SAT})] \Delta(\text{SST} - \text{SAT})$.

799

Table 1. Winter averages in the Kuroshio study area in 2009 ^a

	Average ^b	High SST-SAT days ^c	Low SST-SAT days ^d
τ	14.6 ± 10.8	17.5 ± 13.3	11.6 ± 7.98
r_e (μm)	7.44 ± 1.24	7.03 ± 1.31	7.82 ± 1.13
N_c (cm^{-3})	280 ± 119	335 ± 131	227 ± 88.9
CLWP (g m^{-2})	62.8 ± 53.5	73.2 ± 65.2	51.8 ± 41.7
AOD	0.189 ± 0.122	0.201 ± 0.112	0.177 ± 0.13
SST (K)	296.24 ± 1.54	296.22 ± 1.63	296.20 ± 1.61
SAT (K)	291.22 ± 3.43	288.45 ± 3.24	293.83 ± 2.49
SST – SAT (K)	5.01 ± 2.88	7.77 ± 2.3	2.37 ± 1.75
SHF (Wm^{-2})	65.8 ± 55.6	117 ± 56.2	23.6 ± 23.3
LHF (Wm^{-2})	259 ± 145	372 ± 139	153 ± 89.2

^a Kuroshio study area was defined in this study as a parallelogram shown in Figure 1 (23°N-26°N, 122°E and 28°N-31°N, 130°E). All quantities were evaluated using only daily $0.75^\circ \times 0.75^\circ$ data obtained when non-precipitating water clouds were observed in January, February, November, and December 2009 (denoted by NDJF2009). Monthly averages were calculated before four-month averages were taken.

τ : cloud optical thickness, r_e : effective radius, N_c : cloud droplet number concentration, CLWP: cloud liquid water path, AOD: aerosol optical depth, SST: sea surface temperature, SAT: surface air temperature, SHF: sensible heat flux, and LHF: latent heat flux.

^b Four-month averages.

^c Averages for high SST – SAT days.

^d Averages for low SST – SAT days.

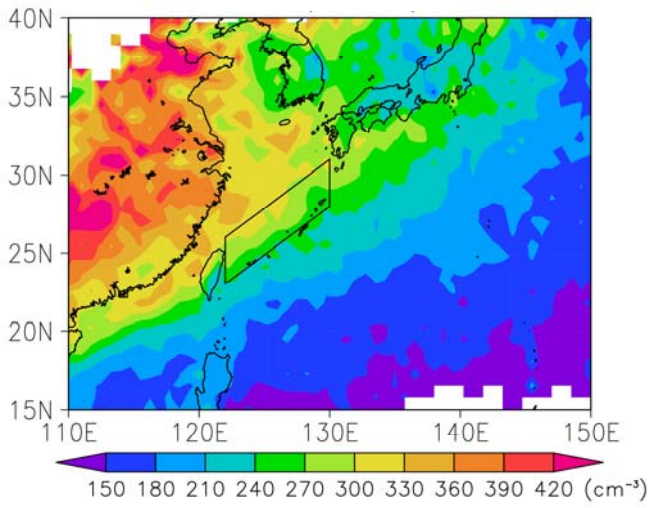
Table 2. Winter averages of fractional changes between high and low SST – SAT days in the Kuroshio study area in 2009 ^a

Estimated parameters	Averages
$0.5 \Delta\tau / \tau$ (%)	17.1
$-2.5 \Delta r_e / r_e$ (%)	27.5
$\Delta N_c / N_c$ (%)	38.1
$\Delta \text{CLWP} / \text{CLWP}$ (%)	24.2
$\Delta \text{AOD} / \text{AOD}$ (%)	14.4

^a As in Table 1, but for fractional changes of various parameters.

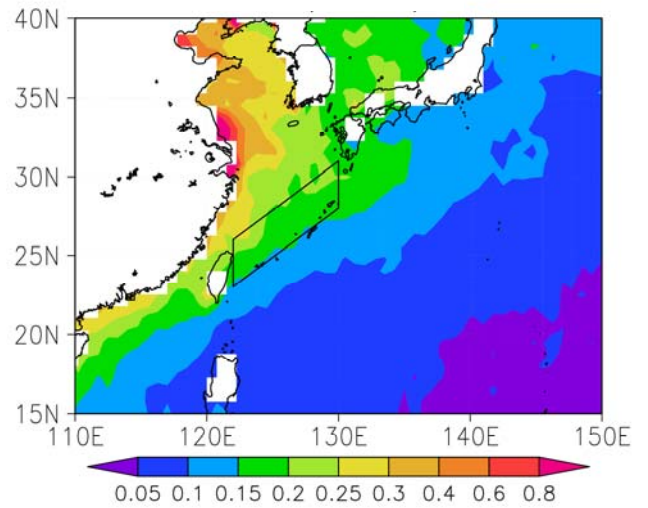
Figure 1

(a)



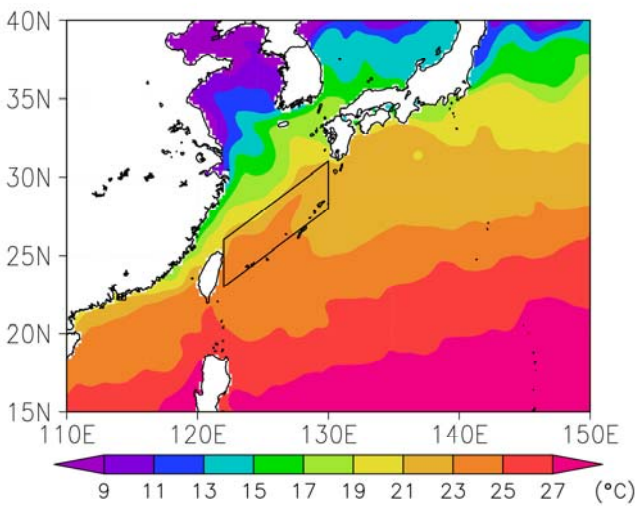
N_c (cm^{-3})

(b)



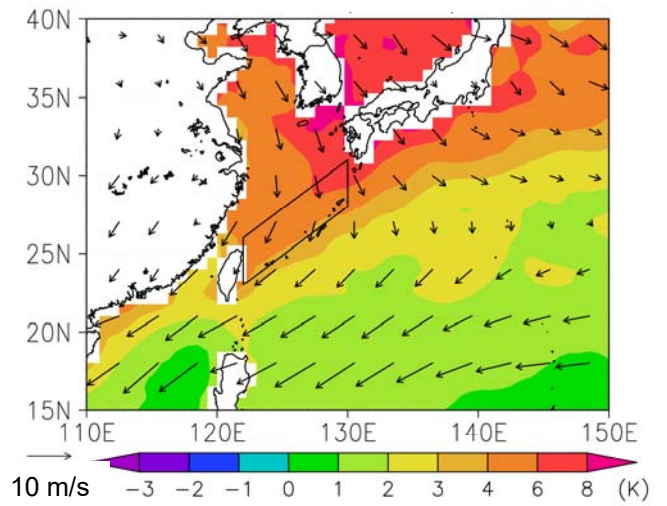
AOD

(c)



SST ($^{\circ}\text{C}$)

(d)



SST-SAT (K)

Figure 2

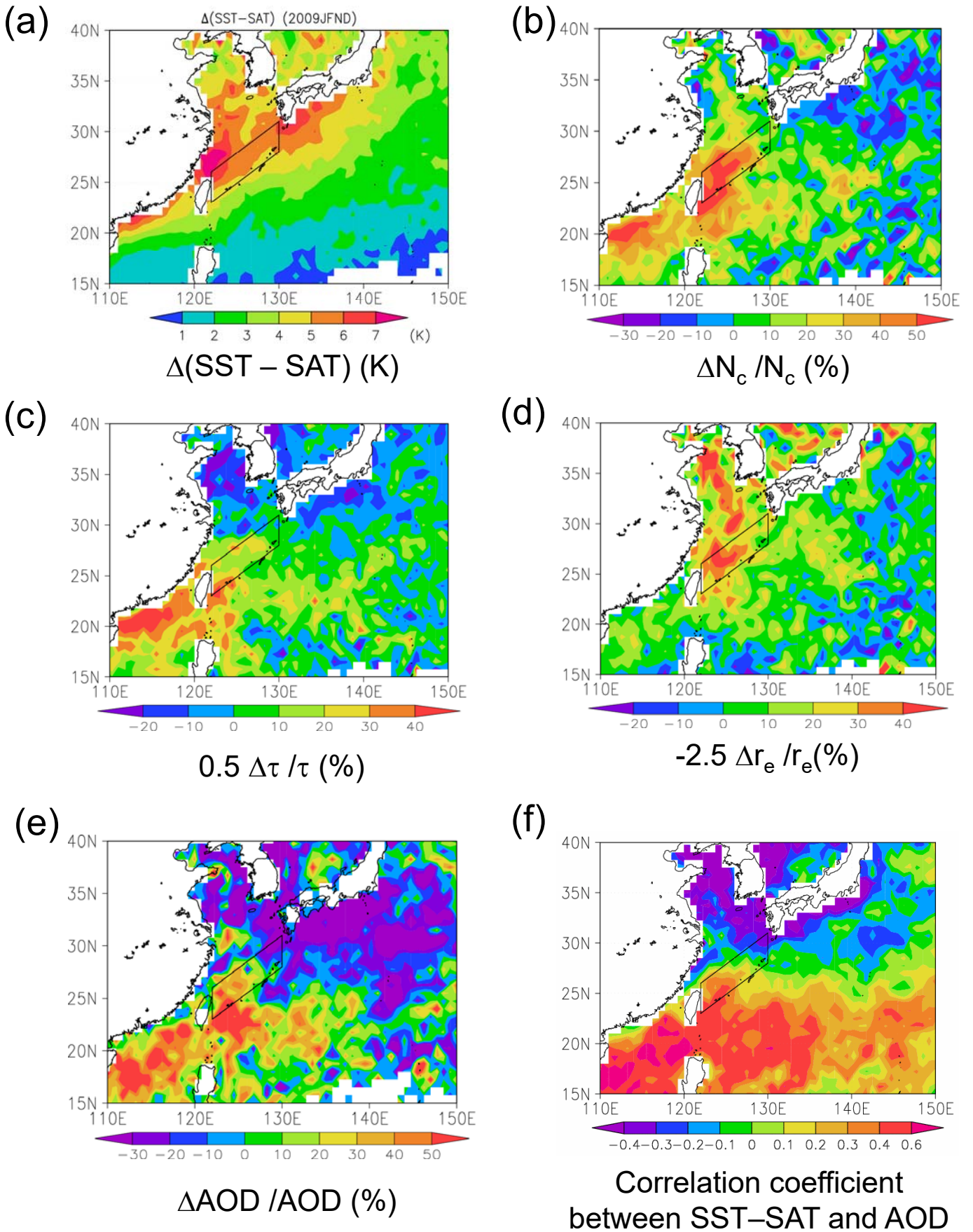


Figure 3

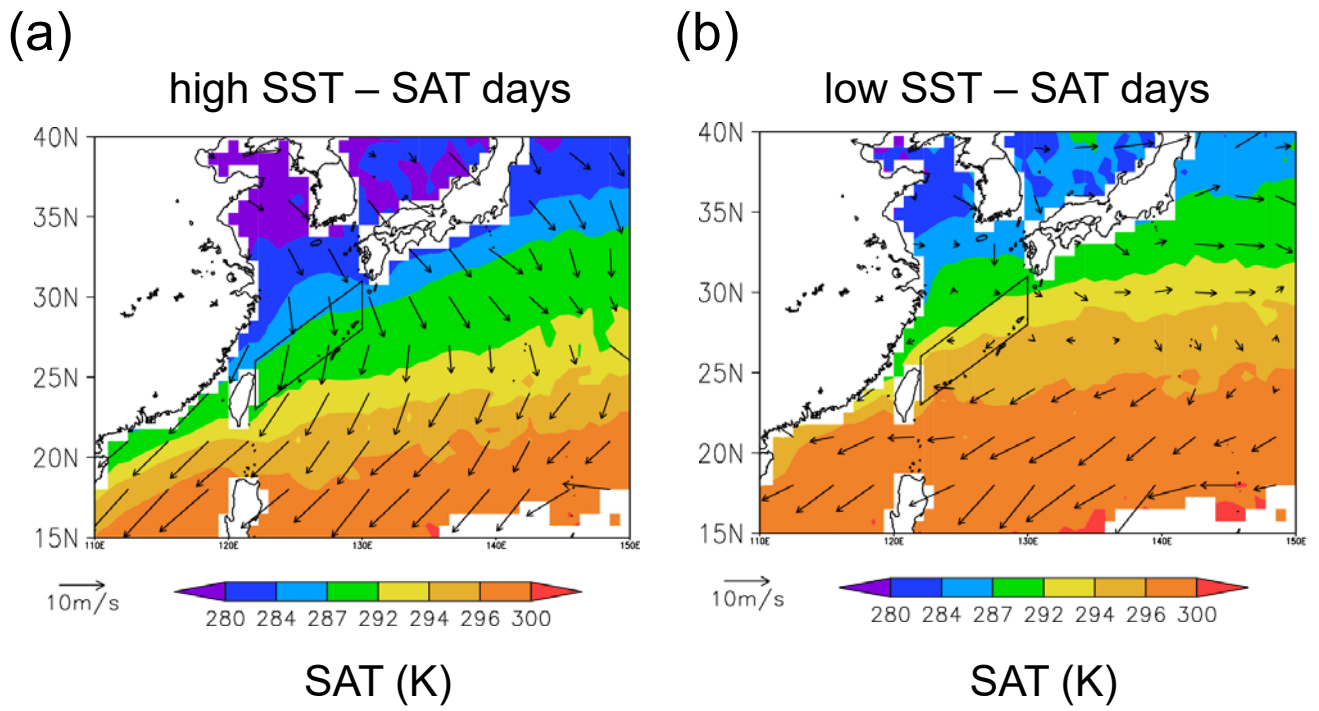


Figure 4

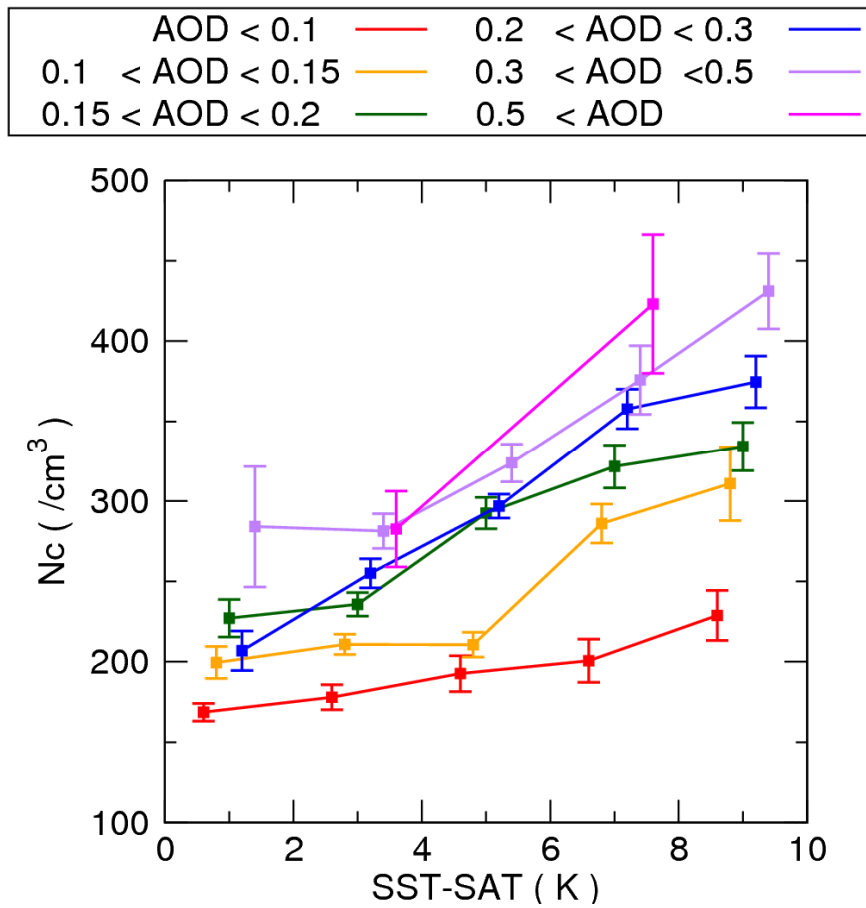


Figure 5

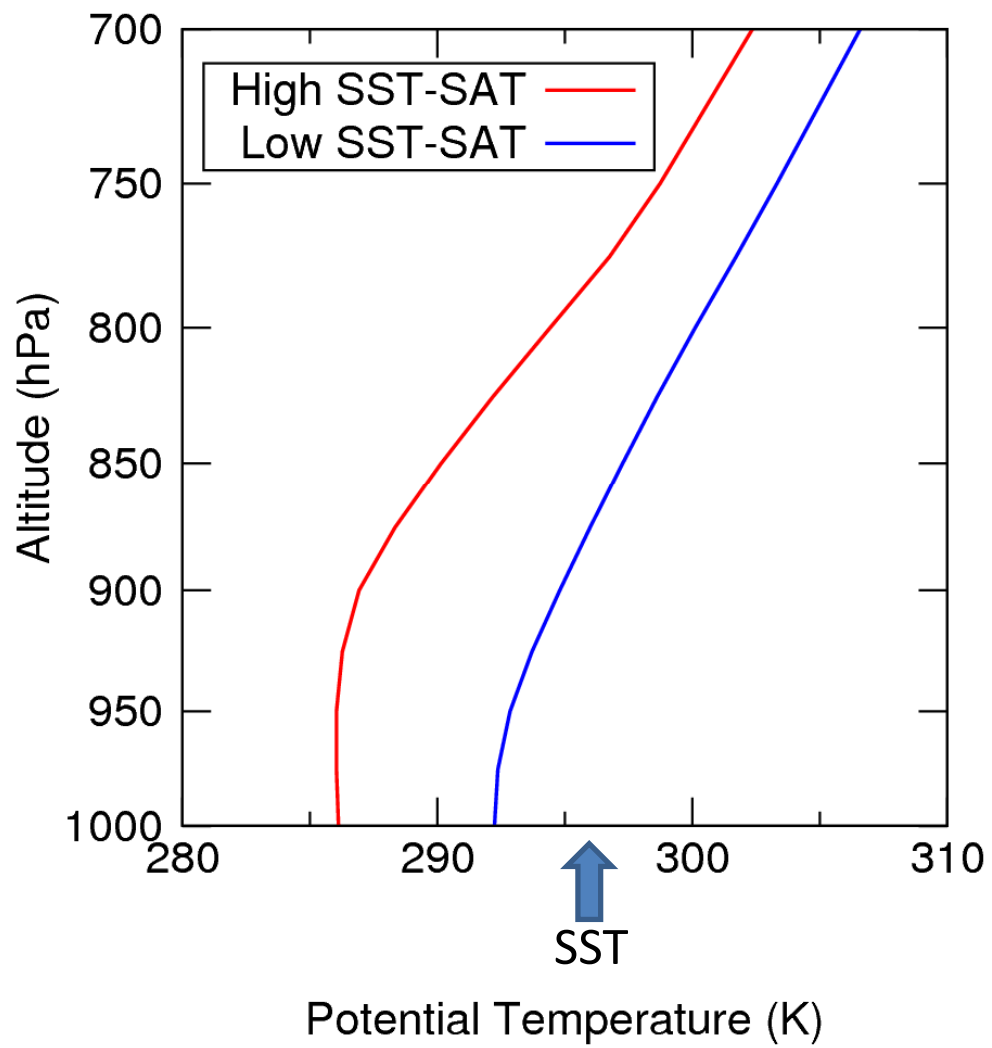
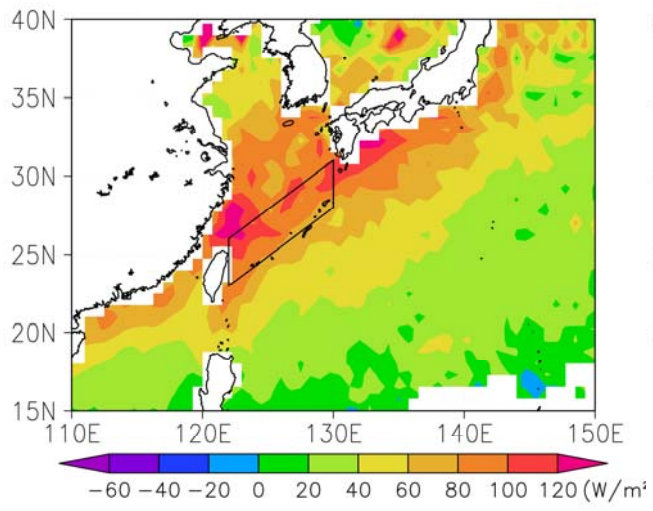


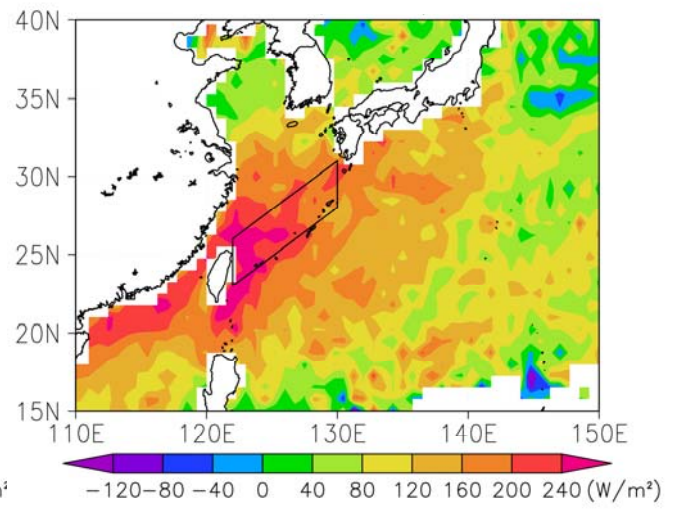
Figure 6

(a)



ΔSHF (W m^{-2})

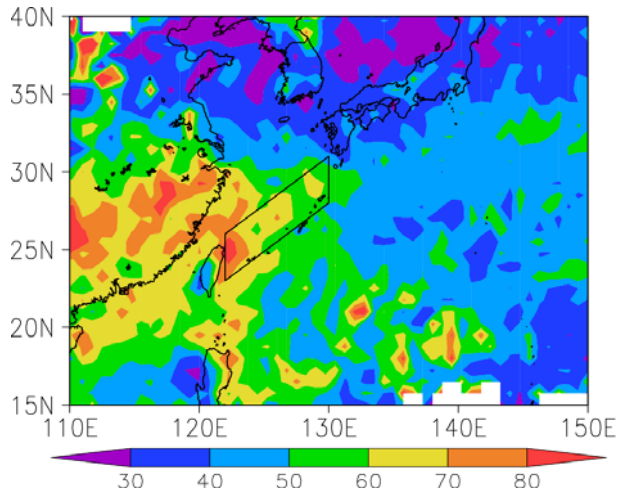
(b)



ΔLHF (W m^{-2})

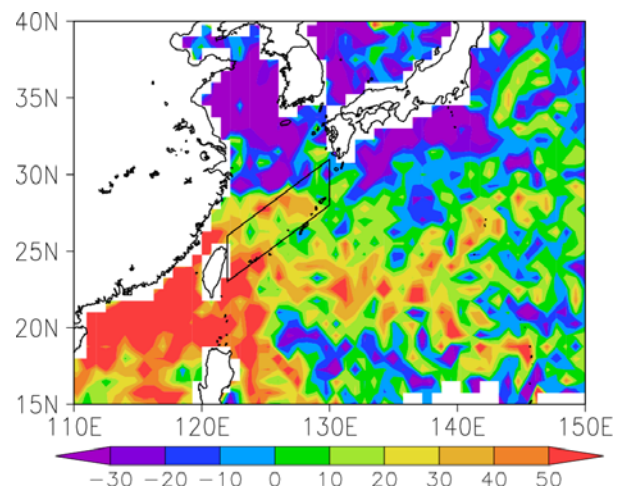
Figure 7

(a)



CLWP (g m^{-2})

(b)



$\Delta\text{CLWP} / \text{CLWP}$ (%)

(c)

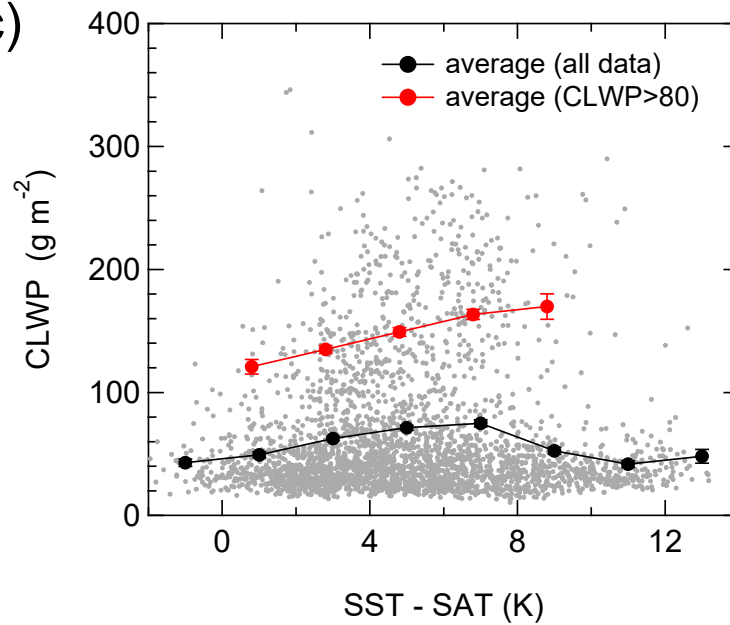


Figure 8

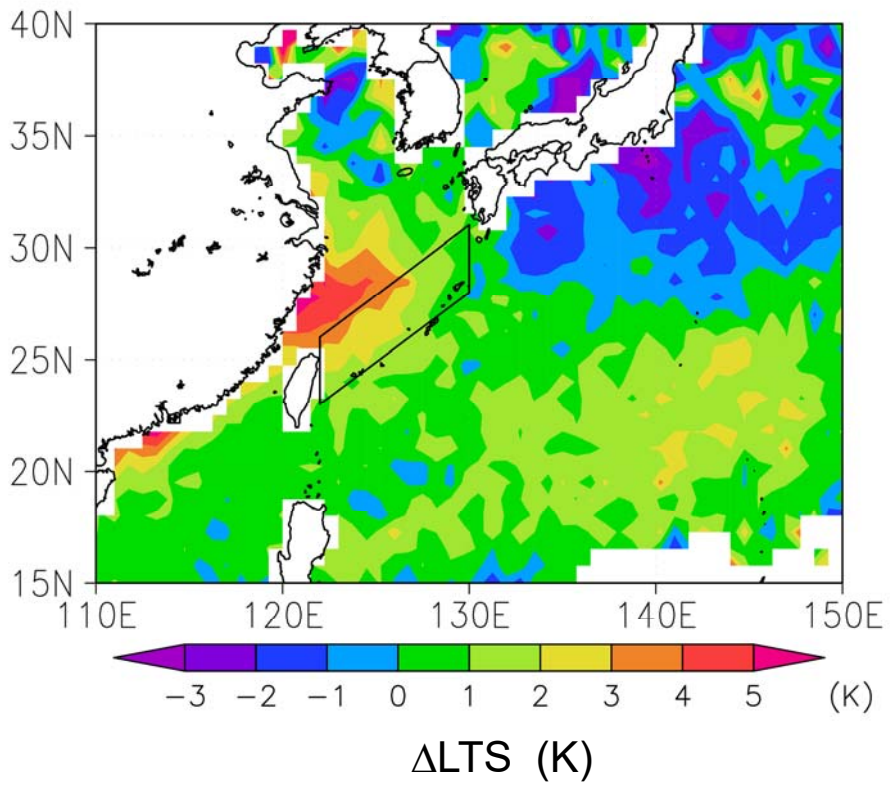
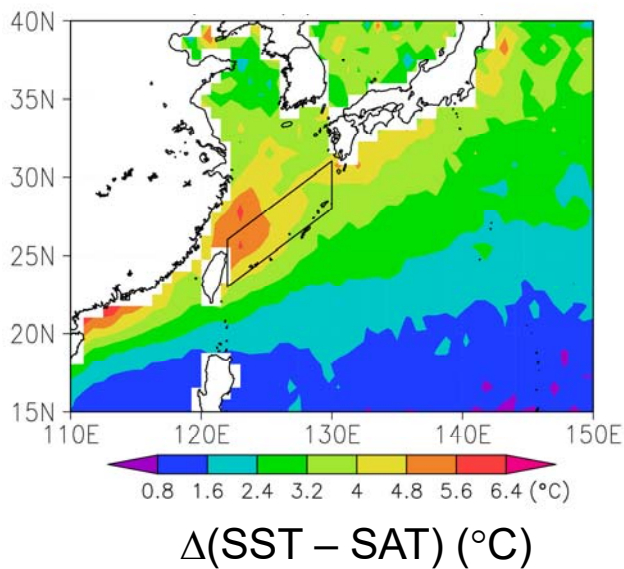
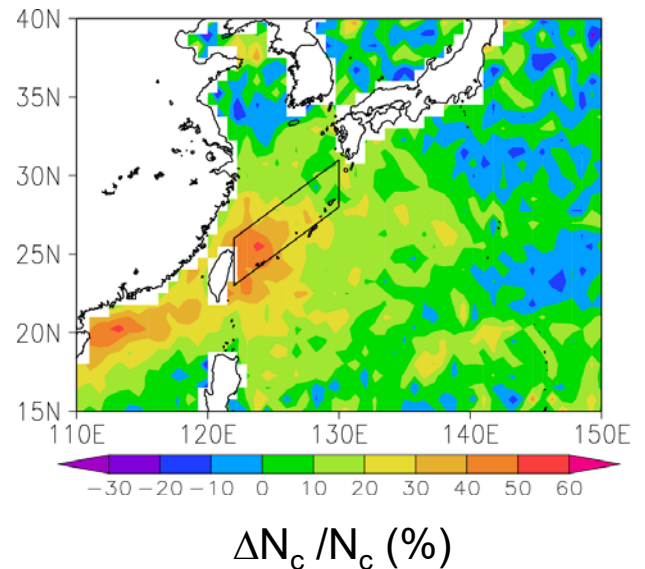


Figure A1

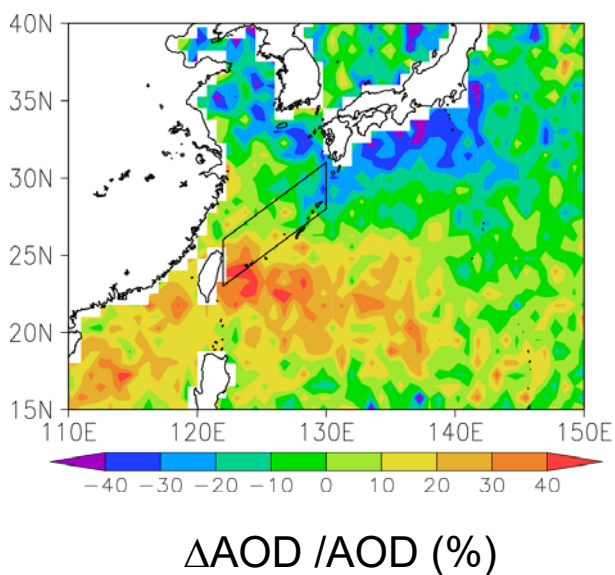
(a) NDJF 2008-2012



(b) NDJF 2008-2012



(c) NDJF 2008-2012



(d) NDJF 2008-2012

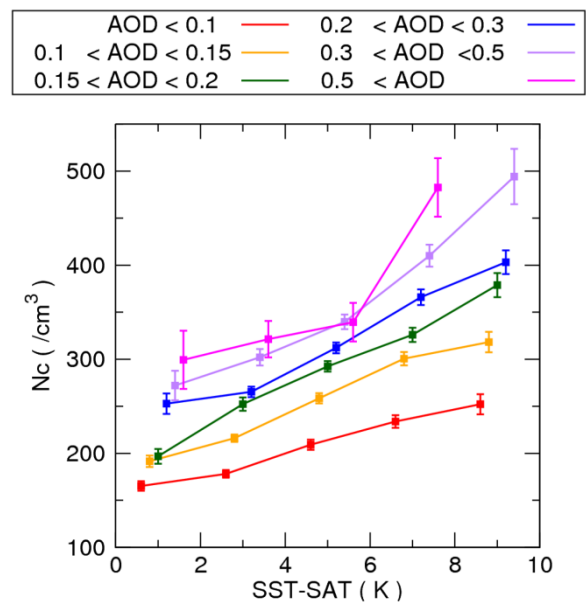
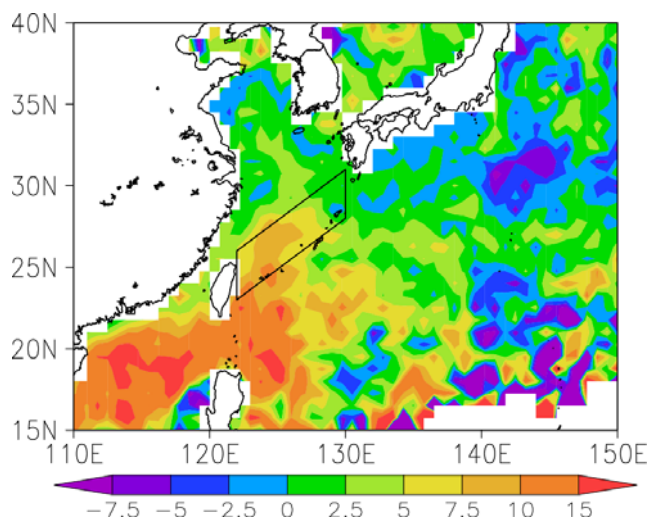


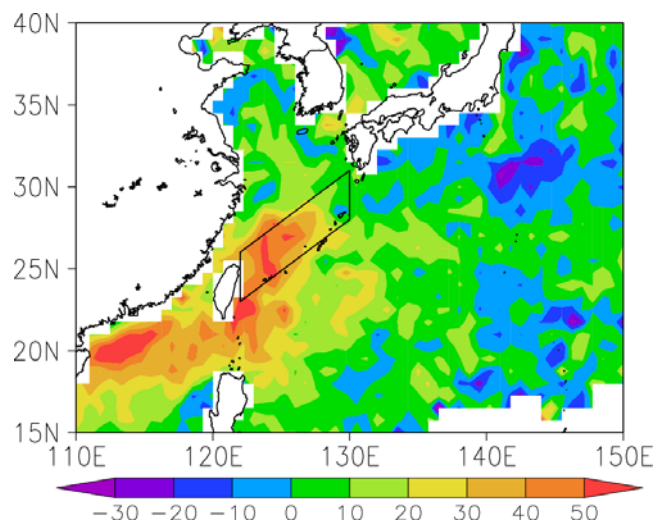
Figure B1

(a)



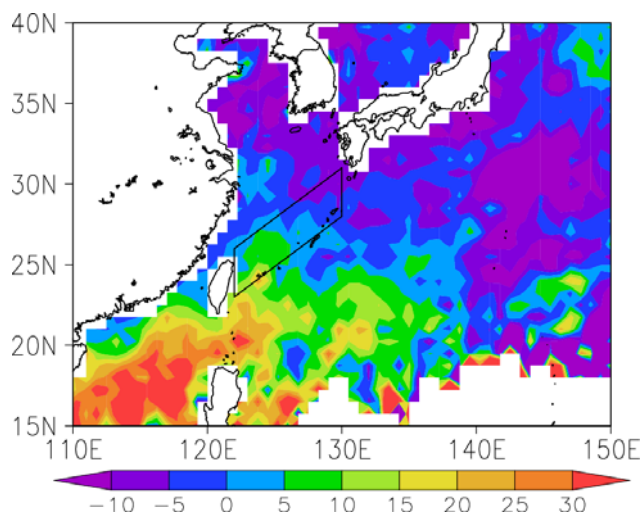
$(dN_c / \langle N_c \rangle) / d(\text{SST-SAT})$ (% K⁻¹)

(b)



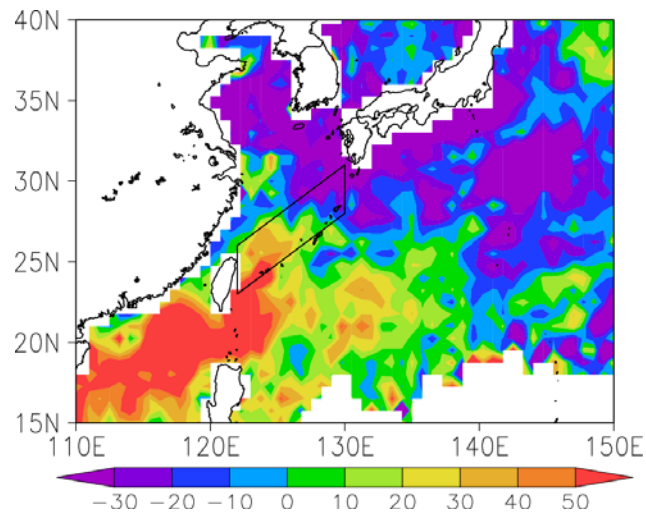
$[(dN_c / \langle N_c \rangle) / d(\text{SST-SAT})]$
 $\Delta(\text{SST-SAT})$ (%)

(c)



$(d\text{AOD} / \langle \text{AOD} \rangle) / d(\text{SST-SAT})$
(% K⁻¹)

(d)



$[(d\text{AOD} / \langle \text{AOD} \rangle) / d(\text{SST-SAT})]$
 $\Delta(\text{SST-SAT})$ (%)