Cooling of the wintertime Arctic stratosphere induced by  $\mathbf{2}$ the Western Pacific teleconnection pattern 3 4  $\mathbf{5}$ 6  $\overline{7}$ 8 9 10 Kazuaki Nishii (nishii@eps.s.u-tokyo.ac.jp) 11 Hisashi Nakamura (hisashi@eps.s.u-tokyo.ac.jp) 12Graduate School of Science, The University of Tokyo, Tokyo, Japan 137-3-1 Hongo Bunkyo-ku Tokyo, 113-0033, Japan 14 Yvan J. Orsolini (orsolini@nilu.no) 15Norwegian Institute for Air Research, Kjeller, Norway; also at Bjerknes Centre for 1617Climate Research, Norway NILU, PO Box 100, N-2027 Kjeller, Norway 18

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### 19 Abstract

A composite analysis for extreme positive events of the Western Pacific (WP) 20teleconnection pattern with blocking flow configurations observed over the subpolar Far 2122East shows that such an event in winter can trigger a persistent cold period in the polar stratosphere and, if it occurs in fall or early winter, it augments the possibility of the 23formation of polar stratospheric clouds. The stratospheric cooling occurs in conjunction 24with the weakening of upper-tropospheric planetary waves and their upward 25propagation into the stratosphere soon after the peak time of the WP pattern. 2627Synoptically, this weakening of the upper-tropospheric planetary waves is manifested as 28westward evolution of a developing blocking high into the climatological-mean pressure trough over the subpolar Far East. This study thus presents a unique case where 2930 a blocking high can induce cooling in the polar stratosphere rather than warming.

### 31 **1. Introduction**

The Western Pacific pattern (WPP) is a major teleconnection pattern in the wintertime troposphere and characterized by a meridional dipole of circulation anomalies over the Far East and North Pacific [*Wallace and Gutzler*, 1981]. Remote atmospheric responses to El Niño/Southern Oscillation (ENSO) are known to have a considerable projection onto WPP [*Horel and Wallace*, 1981]. During a month when the WPP index is positive, blocking highs tend to occur more frequently over the North Pacific than in the climatological situation [*Pavan et al.*, 2000]. Thus WPP exerts a substantial impact on weather and climatic conditions over the Far East and North Pacific, including its influence on storm-track activity [*Nakamura et al.*, 1987; *Lau*, 1988] and cold-air outbreaks [*Takaya and Nakamura* 2005].

42The influence of WPP is not limited to the troposphere. Orsolini et al. [2009] have revealed that the expansion of an extremely cold domain over the Arctic where Polar 43Stratospheric Clouds (PSCs) can potentially form tends to follow a tropospheric positive 44 WPP event (WPPE) that occurs about a month earlier. The expansion is in correlation 45with the reduction of upward injection of planetary-wave (PW) activity into the 46 stratosphere, and it is consistent with the tendency for a blocking high over the western 4748North Pacific (WNP) to lead to the intensification of the stratospheric polar vortex [Woollings et al., 2010]. In fact, in recent composite analyses for events of polar vortex 49intensification (VI), one may notice a tropospheric anticyclonic anomaly over the 50subpolar Far East, which is reminiscent of WPP at the mature stage of VI events 51[Limpasuvan et al., 2005] or a month earlier [Kolstad and Charlton, Observed and 5253simulated precursors of stratospheric polar vortex anomalies in the Northern Hemisphere, submitted to Climate Dynamics, 2010]. One may also notice that a 54

tropospheric anomaly pattern that possibly includes a signature of blocking and exhibits strong co-variability with the stratospheric polar vortex, revealed through rotated singular value decomposition analysis by *Cheng and Dunkerton* [1995], has a substantial projection onto WPP.

The aim of this study is to present typical daily evolution of the positive WPP and the subsequent cooling in the Arctic stratosphere through composite analysis. We also perform a case study for the 1995/96 winter, when the potential area of PSC formation was particularly large [e.g. *Pawson and Naujokat*, 1999]. In addition, mechanisms for the stratospheric cooling induced by the positive WPP are elucidated from a viewpoint of interference between the climatological-mean PWs and an anomalous Rossby wave packet.

66 2. Data and analysis method

The Japanese 25-year Reanalysis (JRA-25) [*Onogi et al.*, 2007] from 1979 through 2008 is used. We focus on quasi-stationary anomalies that have been extracted with a digital filter by retaining fluctuations with periods longer than 8 days in the original time series. Daily climatological-mean fields have been constructed for the period 1980-2003 based on 31-day running mean fields. Anomalies are defined locally as departures of the 8-day low-pass-filtered daily fields from the daily climatology for the

## 73 corresponding calendar days.

To identify typical WPP anomalies, an Empirical Orthogonal Function (EOF) 74analysis was applied to monthly anomaly fields of 500-hPa geopotential height for the 7576 winters (NDJFM) from 1979/80 through 2007/08 over the WNP (20°N-70°N, 120°E-180°). The first EOF, regarded as WPP in this study, accounts for 34% of the 77 total height variance within the domain. The positive phase of WPP is defined as the 78 situation in which the subpolar anomaly is anticyclonic. The daily WPP index has been 79obtained by projecting the daily low-pass-filtered 500-hPa height anomaly fields onto 80 81 the WPP anomaly pattern based on the EOF. On the basis of the daily index, the 18 82 strongest positive WPPEs have been selected for our analysis. At the peak time of each of the events, the index value exceeds three standard deviations. Poleward eddy heat 83 84 flux at the 100-hPa level averaged poleward of 45°N is used as an indicator of an upward flux of extratropical PW activity from the troposphere. If zonally averaged, the 85 heat flux is equivalent to the vertical component of the conventional Eliassen-Palm flux, 86 87 and enhancement of the heat flux has been shown to weaken the stratospheric polar vortex [e.g., Polvani and Waugh, 2004]. We estimated the heat flux anomaly  $([V*T*]_a)$ 88 89 from the low-pass-filtered fields of meridional wind velocity (V) and temperature (T)with a subscript *a* for anomaly. Asterisks signify the eddy components as deviations 90

91 from their zonal means and square brackets the zonal averaging.

### 92 **3.** A case study

93 As a typical example, a prominent positive WPPE observed in the 1995/96 winter is 94discussed in this section. It was the third strongest event within the entire analysis period, and at its peak time the WPP index reached as much as four standard deviations 9596 (black line in Figure 1c). Figure 1a shows the time-height evolution of temperature anomalies averaged over the Arctic (poleward of 70°N), and the evolution of 9798 lower-stratospheric (50-hPa) temperature anomaly is highlighted in Figure 1c with a red line. In the upper and middle stratosphere (above the 30-hPa level), the temperature 99 dropped rapidly at the beginning of December. The cool anomaly then spread 100 downward to reach the bottom of the stratosphere (around the 300-hPa level) by the end 101 of the month. In the middle and lower stratosphere, the cool anomaly persisted until the 102103 end of March, whereas in the upper stratosphere (above the 10-hPa level), a warm 104 anomaly developed in mid-January and then spread gradually downward (not shown). 105The downward evolution of stratospheric temperature anomalies is known to appear in 106 association with the Northern Annular Mode [Baldwin and Dunkerton, 1999]. The 107 50-hPa cooling occurred in conjunction with the weakening of zonal wavenumber one (k=1) component of stratospheric PWs (blue line). Pawson and Naujokat [1999] showed 108

that the potential PSC formation area, where the 50-hPa temperature is below 195 K,started to expand anomalously in mid-December.

As pointed out by Takaya and Nakamura [2005], the daily evolution of a positive 111 112WPPE is similar to that of a blocking high over the subpolar WNP. In fact, Figure 2i shows that 250-hPa Ertel's potential vorticity (PV) field observed on November 25, five 113 days prior to the peak time of the event, was characterized by a prominent blocking 114 115ridge with low PV around the date line. For the following five days, the upper-tropospheric pressure ridge developed westward into the high-PV area of the 116 117climatological pressure trough over the Far East yielding a cyclonic breaking locally 118 (Figure 2j). As shown by a green line in Figure 1c, marked weakening was observed in 119 the k=1 component, which is dominant climatologically in the upper-tropospheric PW 120field. Upward PW propagation was thus suppressed in late November, as indicated by negative  $[V^*T^*]_a$  (black line in Figure 1e) just before the beginning of the stratospheric 121122cooling. Our finding is consistent with Orsolini et al. [2009], who showed that the positive WPP weakens upward propagation of PWs by reducing their amplitude by the 123superposition of an anticyclonic anomaly on the climatological-mean trough over the 124125Far East.

126 **4.** Composite analysis

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To generalize the results obtained through the case study for the 1995/96 winter, we 127have constructed composite maps for the 18 prominent positive WPPEs relative to their 128peak times. Figure 1b shows a height-time section of the composited evolution of polar 129130 temperature anomalies, which looks quite similar to the corresponding evolution in the 1995/96 winter (Figure 1a). Soon after the peak time of a WPPE, the upper- and 131mid-stratospheric temperature anomaly turns negative, and this cooling signal rapidly 132133spreads downward into the lower stratosphere within five days. This cold anomaly 134persists for about a month, while a warm anomaly appears in the upper stratosphere  $\sim 20$ 135days after the peak time. The polar cooling accompanies the intensification of the 136 westerly polar-night jet (not shown). In the composite evolution, the rapid stratospheric cooling occurs concurrently with a significant reduction in the 100-hPa upward flux of 137PW activity (black line in Fig. 1f). The reduction, as represented by negative  $[V^*T^*]_a$ , 138becomes strongest 1 day after the peak time. 139

In the troposphere (Figures 2e-f), the positive WPP accompanies a dipolar height anomaly pattern (black contours and shading) with an anticyclonic blocking ridge (purple contour) that evolves westward. This evolution corresponds to cyclonic breaking of the PW trough over the WNP. This breaking signature is more striking in the PV map composited for the peak time (Figure 2k), which is remarkably similar to 145

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the corresponding one in the 1995 event (Figure 2j). As shown by a green line in Figure 1d, the breaking weakens the k=1 component of the upper-tropospheric PWs.

As evident in a zonal-height section of height anomalies at 60°N (Figure 21), both the 147148 tropospheric blocking anomaly and the associated cyclonic anomaly downstream over North America are deep, extending into the stratosphere. At the peak time, the 149respective anomalies in the stratosphere are located to the west and east of the 150151climatological Aleutian high (Figure 2b). The high (or pressure ridge in the total PW field) is initially recognized as a poleward meander of geopotential height contours 152153(purple lines in Figure 2a). For the next five days (Figure 2c), the ridge breaks down through its destructive interference with the westward-developing cyclonic anomaly. 154Although the stratospheric anomaly field is dominated by the k=1 component, its zonal 155156phase is such that it weakens the total PW field. The polar vortex thus becomes remarkably annular (Figure 2c) under the diminished PW signature (black line in Figure 1571581f), and correspondingly a cool anomaly develops rapidly in the Arctic stratosphere (red line in Figure 1d). The signal of the tropospheric WPP almost diminishes 20 days after 159its peak time, but the cool, cyclonic anomaly in the stratosphere associated with the 160161intensified polar vortex still retains its intensity even 20 days after the peak time 162(Figures 2d and 2h).

# **5. Mechanisms for the weakening of stratospheric PWs**

164	In this section, we examine in detail how the destructive interference occurs between
165	the climatological-mean PWs and the stratospheric anomalies induced by the
166	tropospheric WPP. Nishii et al. [2009] showed that anomalous waves are often observed
167	in the form of a zonally-confined Rossby wave packet propagating three-dimensionally.
168	In fact, a wave-activity flux diagnosis [Takaya and Nakamura, 2001] applied to the
169	composited 100-hPa anomaly fields reveals that the tropospheric anticyclonic anomaly
170	releases Rossby wave activity upward into the stratosphere (green contours in Figures
171	2a-c). The upward-propagating wave-packet structure can be recognized in the
172	zonal-height section (Figure 21) as westward-tilting phase lines of the height anomalies.
173	We have decomposed 100-hPa $[V^*T^*]_a$ into individual contributions from $[V_a^*T_c^*]_a$
174	$[V_c * T_a *]$ and $[V_a * T_a *]_a$ (green, blue and red lines, respectively, in Figures 1e-f). Here,
175	the subscript c is for climatology. The terms $[V_a * T_c *]$ and $[V_c * T_a *]$ represent
176	contributions from the interference between the climatological-mean PWs and
177	anomalous waves, whereas $[V_a * T_a *]_a$ represents an anomalous instantaneous
178	contribution from upward-propagating wave packets. Section 2 of Nishii et al. [2009]
179	should be referred to for more details.

Both in the 1995 event (Figure 1e) and in the composite (Figure 1f), the weakening of

181	$[V^*T^*]_a$ is mainly due to $[V_a^*T_c^*]$ and $[V_c^*T_a^*]$ . The weakening of $[V^*T^*]_a$ in late
182	November 1995 was contributed to also by weakned wave-packet propagation
183	$([V_a * T_a *]_a)$ (Figure 1e). Consistently with the upward propagation of anomalous waves,
184	the wave-packet term $[V_a * T_a *]_a$ in the composite is positive, but not significant (Figure
185	1f). Thus it cannot offset the effect of destructive interference between the
186	climatological PW trough and the anticyclonic anomaly associated with the positive
187	WPP, as indicated by negative of $[V_a * T_c *]$ and $[V_c * T_a *]$ .
188	To gain a deeper insight into the reduced upward PW propagation, we plot the
189	distribution of 100-hPa $V^*$ and $T^*$ . Figure 3a shows the climatological situation where
190	positive $V_c^*$ (southerlies) overlaps warm $T_c^*$ over the WNP as a manifestation of the
191	upward propagation of the climatological-mean PWs. In the composited maps for the
192	peak time of the positive WPPEs (Figure 3b), poleward $V^*$ accompanying warm $T^*$
193	over the Far East contributes positively to $[V^*T^*]_a$ , which is, however, counteracted by
194	a negative contribution from equatorward $V^*$ with warm $T^*$ over Alaska and the Bering
195	Sea, in addition to poleward $V^*$ with cool $T^*$ over Greenland. This negative contribution
196	is attributable to the interference between the climatological-mean PWs and anomalies
197	associated with the WPP, to which $[V_a^*T_c^*]$ contributes dominantly (Figure 1f). In fact,
198	Figure 3c indicates that anomalous meridional wind is strongly equatorward ( $V_a$ * <0)

over the Alaska-Bering sector, where it is climatologically warm ( $T_c^* > 0$ ). Due to their destructive interference with the anomalous waves, the PWs in the subpolar region becomes less baroclinic with their phase lines becoming nearly vertical and virtually no longitudinal correlation between  $V^*$  and  $T^*$  (not shown). The upward PW propagation is thus diminished around the peak time of a WPPE. For the next few days, the  $V^*-T^*$ correlation gradually recovers but their amplitudes are reduced substantially (Figures 2c and 2g).

## 206 6. Summary and discussion

In this study, we have shown that a positive WPPE in winter can be a precursor for 207 one-month lasting polar stratospheric cooling up to 6 K, revealing their dynamical 208linkage. The positive WPP weakens upward PW propagation into the stratosphere 209 through the destructive interference between the climatological PWs and wavy 210anomalies associated with WPP. In Orsolini et al. [2009], monthly events of extreme 211212PSC volume tend to be observed in the following months of the positive WPP. Most of the positive WPPEs in the October-January period used for our compositing were 213followed by the largest PSC area events at the 50-hPa level, but none of those events in 214February or March were. In fact, the 50-hPa field composited for 20 days after the peak 215time of those 9 events that were observed in fall or early winter (October-January) 216

shows a domain of temperature below 195K (blue contour in Figure 2d). This seasonality is understandable, since the PSC formation depends more directly on temperature itself than on its anomaly and the PSC area thus tends to maximize in midwinter (i.e., January or February). The present study has shown that extreme midwinter coolness in the Arctic stratosphere can be triggered by an extreme positive WPPE in fall or early winter (October-January).

223The daily evolution of the positive WPP is quite similar to the development of a blocking high over the WNP [e.g., Takaya and Nakamura, 2005]. Thus, this study 224225presents a unique case where a blocking high can induce cooling in the polar stratosphere rather than warming. In fact, the positive contribution  $[V_a^*T_a^*]_a$ , which is 226consistent with a general tendency for blocking highs to act as precursors of 227 stratospheric sudden warming events (e.g., Martius et al. [2009]), is modest even for 228strong blocking events associated with the WPP. As their unique dynamical 229230characteristic, the contribution is overwhelmed by the destructive interference between 231the blocking anomaly and climatological-mean PWs.

232 Climatologically, upward PW propagation into the stratosphere is strongest over the 233 WNP [*Plumb*, 1985], where circulation anomalies can therefore potentially modify the 234 upward PW propagation efficiently. Nevertheless, correlation between the WPP index and 50-hPa polar temperature anomaly for winter (NDJFM) is not particularly strong (at most -0.17 with the lag of 9 days). The weak correlation reflects the fact the stratospheric variability is not determined solely by WPPEs, but it may also reflect the tendency for negative WPPEs to accompany insignificant stratospheric warming (not shown).

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Figure 1. (a) Height-time section of temperature anomaly averaged over the Arctic (poleward of 70°N) from October 20, 1995 through January 10, 1996. Orange and blue shading denotes the absolute values of the anomaly that exceed 4 K positively and

299	negatively, respectively. Contour interval is 3 K. (b) As in (a), but for the composite for
300	the 18 positive WPPEs. Yellow and blue shading denotes the composited anomalies
301	significantly positive and negative, respectively, at the 95% confidence level with the
302	t-statistic. (c) Daily time series (1995/96 winter) of the WPP index (black; left axis),
303	50-hPa temperature anomaly averaged over the Arctic (red; left axis), anomalous
304	amplitude of the $k=1$ component of PW in 250-hPa height (green; right axis) and 30-hPa
305	height (blue; right axis) at 50°N. (d) As in (c), but for the corresponding time series
306	based on the composite for the positive WPPEs. Dots denote the anomalies significant
307	at the 95% confidence level. (e) Daily time series (1995/96 winter) of contributions to
308	100-hPa eddy heat flux (K m s <sup>-1</sup> ) from $[V^*T^*]_a$ (black), $[V_a^*T_c^*]$ (green), $[V_c^*T_a^*]$
309	(blue) and $[V_a * T_a *]_a$ (red), averaged poleward of 45°N. (f) As in (e), but for the
310	corresponding time series based on the composite for the positive WPPEs.





Figure 2. (a-d) Polar stereographic maps (poleward of 30°N) of 30-hPa height 315anomalies composited for the 18 positive WPPEs (black lines) with lags of (a) -5, (b) 0, 316 317(c) +5 and (d) +20 days relative to their peak time. Contour interval is 50 m (dashed for negative; zero lines omitted). Yellow and blue shading denotes positive and negative 318 319 anomalies, respectively, significant at the 95% confidence level. Purple lines indicate composited 30-hPa height of 23000 and 23600m, arrows the 30-hPa horizontal 320 component of Rossby wave-activity flux (unit; m<sup>2</sup>s<sup>-2</sup>), and green lines 100-hPa upward 321component of the flux of 0.005  $m^2s^{-2}$ . In the area encircled by the blue contour in (d), 32250-hPa temperature composited for the 9 positive WPPEs in the October-January period 323324is below 195 K. (e-h) As in (a-d), but for 250-hPa height anomalies. Purple contours represent composited 250-hPa height of 10000m. (i, j) 250-hPa Ertel's PV on November 325(i) 25 and (j) 30, 1995 (black; contour interval is 1 PVU) with blue shading for 4 PVU 326 327 or higher and yellow shading for 3 PVU or lower. (k) As in (i), but for PV composited for the peak times of the 18 positive WPPEs. (1) Zonal height section for 60°N of height 328

anomalies composited for the peak times of the 18 positive WPPEs. Yellow and blue shading denotes positive and negative anomalies, respectively, significant at the 95% confidence level. Arrows represent the zonal and vertical components of the Rossby wave-activity flux ( $m^2s^{-2}$ ).



Figure 3. (a) Winter (NDJFM) climatology of eddy components of 100-hPa meridional wind velocity ( $V_c^*$ ; contoured for every 5 m s<sup>-1</sup>; dashed for the northerlies) and temperature ( $T_c^*$ ; shaded as indicated below if warmer and cooler, respectively, than the longitudinal average; interval: 2 K). (b) As in (a), but for  $V^*$  and  $T^*$  composited for the peak times of the 18 positive WPPEs. (c) As in (b), but for anomalous meridional wind ( $V_a^*$ ) and climatological-mean temperature ( $T_c^*$ ) that contribute to [ $V_a^*T_c^*$ ].