1	Vertical Structure and Energetics of the Western Pacific Teleconnection Pattern
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18 Abstract

The Western Pacific (WP) pattern, characterized by north-south dipolar anomalies in 1920pressure over the Far East and western North Pacific, is known as one of the dominant 21 teleconnection patterns in the wintertime Northern Hemisphere. Composite analysis 22reveals that monthly height anomalies exhibit baroclinic structure with their phase lines 23tilting southwestward with height in the lower troposphere. The anomalies can thus 24yield not only a poleward heat flux across the climatological thermal gradient across the 25strong Pacific jet but also a westward heat flux across the climatological thermal 26gradient between the North Pacific and the cooler Asian Continent. The resultant 27baroclinic conversion of available potential energy (APE) from the climatological-mean 28flow contributes most efficiently to the APE maintenance of the monthly WP pattern, 29acting against strong thermal damping effects by anomalous heat exchanges with the 30 underlying ocean and anomalous precipitation in the subtropics and by the effect of 31anomalous eddy heat flux under modulated stormtrack activity. Kinetic energy (KE) of 32the pattern is maintained through barotropic feedback forcing associated with 33 modulated activity of transient eddies and the conversion from the climatological-mean 34westerlies, both of which act against frictional damping. The net feedback forcing by transient eddies is therefore not particularly efficient. The present study suggests that 3536 the WP pattern has a characteristic of a dynamical mode that can maintain itself through 37 efficient energy conversion from the climatological-mean fields even without external 38forcing, including remote influence from the Tropics.

40 **1. Introduction**

41 The Western Pacific (WP) pattern is a tropospheric teleconnection pattern characterized 42by a north-south dipole of geopotential height anomalies over the Far East and western 43North Pacific (Wallace and Gutzler 1981; hereafter WG81). The WP pattern is known 44as one of the teleconnection patterns that modulate the East Asian winter monsoon 45(Takaya and Nakamura 2005a, 2005b, 2013), but its influence is not limited to the 46winter monsoon. Pavan et al. (2000) and Rivière (2010) showed that frequency of 47blocking or Rossby wave breaking occurrence over the North Pacific increases in 48months when the positive phase of the WP pattern is observed. (Note that the positive 49phase of the WP pattern is defined when the northern height anomaly is positive in this 50study, following the definition of WG81.) In fact, Takaya and Nakamura (2005b) pointed out that the evolution of a strong positive event of the WP pattern can typically 5152be viewed as a cyclonic breaking of the upper-tropospheric planetary-wave trough (cf. 53Rivière 2010).

54Linkin and Nigam (2008) identified the WP pattern with the North Pacific 55Oscillation (Walker and Bliss 1931; Rogers 1981; hereafter NPO). Referring to the joint 56pattern as NPO/WP, they argued that its impact on surface air temperature variability 57over the North America is comparable to that of the Pacific North American pattern 58(WG81; hereafter PNA) and El Niño/Southern Oscillation (ENSO; Walker and Bliss 591931; Horel and Wallace 1981). They also argued that its influence on variability of the 60 Arctic Sea ice is stronger than that of other teleconnection patterns (In section 2, we will 61 briefly discuss the relationship between the WP pattern and NPO).

62 Linkages between the WP pattern and ENSO have been known since Horel and 63 Wallace (1981). In fact, the linkage between the positive WP pattern and La Niña was 64 confirmed by Koide and Kodera (1999) as the third mode of singular value 65 decomposition (SVD) between the global mid-tropospheric geopotential height and 66 sea-surface temperature (SST) anomalies in boreal winter. However, Kodera (1998) and 67 Ose (2000) argued that the WP-ENSO linkage may also be affected by other processes, 68 including variability in snow cover over Siberia and precipitation over the South China 69 Sea. Influence of midlatitude SST variability on the WP pattern has also been discussed. 70Hirose et al. (2009) showed that increasing transport in autumn of the Tsushima warm 71current, which brings warm water from the Kuroshio into the Sea of Japan through the 72East China Sea, tends to be linked with the positive phase of the WP pattern in winter. 73By prescribing SST anomalies in the Sea of Japan as the boundary condition for a 74regional atmospheric model, Yamamoto and Hirose (2011) obtained an atmospheric 75response similar to the WP pattern.

76Frankignoul et al. (2011) identified circulation anomalies like the WP pattern in 77association with the decadal SST variability in the Kuroshio-Oyashio Extension (KOE). 78The WP and PNA patterns alter the position of the surface Aleutian Low and change 79surface wind stress curl over the North Pacific, which forces westward-propagating 80 oceanic baroclinic Rossby waves to displace the northern boundary of the North Pacific 81 subtropical gyre and thereby alter SST in the KOE region (Ishii and Hanawa 2005; Sugimoto and Hanawa 2009). Furthermore, an atmospheric global circulation model 82 83 (AGCM) experiment with SST anomalies over the midlatitude North Pacific can simulate tropospheric height anomalies like the WP pattern and a cooling in the polar stratosphere (Hurwitz et al. 2012). In fact, Orsolini et al. (2009) and Nishii et al. (2010) demonstrated that the positive WP pattern acts to lower the polar stratospheric temperature over the Arctic and thereby increase polar stratospheric clouds through suppression of upward planetary waves into the stratosphere.

89 Some previous studies attempted to interpret the dynamics of the WP pattern from a 90 viewpoint of an internal dynamical mode of the midlatitude atmosphere that can be 91 excited even without external forcing like ENSO. Nakamura et al. (1987) argued that 92kinetic energy (KE) conversion from the climatological-mean flow to the WP pattern 93 might be less efficient than that to the PNA pattern, in recognition of the fact that the 94PNA pattern is located in the exit of the Pacific jet but the WP pattern is not. Rather, the 95 WP pattern is close to the core of the Pacific stormtrack and thus may be effective in modulating transient eddy activity (Nakamura et al. 1987). In fact, Lau (1988) and Lau 96 97 and Nath (1991) concluded that barotropic feedback forcing from synoptic-scale 98 transient eddies can be efficient for the maintenance of the WP pattern. Consistently, Li 99 and Wettstein (2012) argued that the Pacific jet variability associated with the WP 100 pattern shows eddy-driven signature, in contrast to the jet variability associated with the 101 PNA pattern that shows more thermally-driven signature.

Most of the previous studies on low-frequency atmospheric variability, including the WP and PNA teleconnection patterns, have focused on barotropic processes. This may be because circulation anomalies associated with low-frequency variability appear to be equivalent barotropic, especially over the oceans (e.g., Blackmon et al. 1979; Hsu

106 and Wallace 1985). Black and Dole (1993) and Black (1997) pointed out, however, that 107 height anomalies in association with the PNA pattern exhibit a westward phase tilt with 108height and thus baroclinic processes may contribute to the development of the PNA 109 pattern. Linkin and Nigam (2008) also found height anomalies associated with the WP 110 pattern to be in baroclinic structure with a westward phase tilt with height. In summer, 111 the Pacific-Japan (PJ) pattern, a teleconnection pattern characterized by meridionally 112aligned height anomalies over the Far East and western North Pacific (Nitta 1987), 113 exhibits baroclinic structure with a northwestward phase tilt with height (Kosaka and 114Nakamura 2006, 2010). The PJ pattern thus accompanies northward and eastward heat 115fluxes, acting to relax thermal contrasts between the warmer Asian continent associated 116 with the summer monsoon and the climatologically cooler Siberia and North Pacific to the north and east, respectively. These down-gradient heat fluxes act to reinforce the PJ 117 pattern through conversion of available potential energy (APE) from 118 the 119 climatological-mean flow. The baroclinic structure of the PJ pattern in summer 120 motivates us to investigate the vertical structure and energetics of the wintertime WP 121pattern. As the zonal thermal contrast is reversed from summer to winter, height 122anomalies associated with the WP pattern may exhibit a southward phase tilt with 123height to yield a westward heat flux, in contrast to the PJ pattern. We will show that is 124indeed the case for the WP pattern through composite analysis for its high-amplitude 125monthly events based on reanalysis data. We will also show that the WP pattern can 126thus maintain itself mainly through APE conversion against dissipation processes.

128 **2. Data and analysis procedure**

129In the present study, monthly-mean data and 6-hourly data based on the European 130Centre for Medium-Range Weather Forecasts (ECMWF) Reanalysis Interim 131 (ERA-Interim; Dee et al. 2011) are used. The horizontal resolution of the data is 0.75° x 1320.75° in longitude and latitude. We analyzed 32 winters (DJF) from 1979/80 through 1332010/11. Diabatic heating was estimated locally as the residual of the thermodynamic 134equation based on 6-hourly data. For the analysis of the earlier period 1948/49-1978/79, 135we use another reanalysis dataset provided by the National Centers for Environmental 136Prediction (NCEP) and the National Center for Atmospheric Research (NCAR) 137 (NCEP-R1; Kalnay et al. 1996). All the results shown in this paper are based on the 138 ERA-Interim unless otherwise stated.

139 Sub-weekly fluctuations associated with synoptic-scale transient eddies have been extracted through digital high-pass filtering with a half-power cutoff period of 8 days, 140141following Kushnir and Wallace (1989) and Nakamura et al. (2002). In the following, the 142fluctuations thus obtained are denoted by double primes. Momentum and heat fluxes 143 associated with the transient eddies (e.g., zonal momentum flux (u''v'') and meridional heat flux (v''T'') have been 8-day low-pass filtered and then averaged monthly at each 144145grid point before being used in the following composite analysis. (The 8-day low-pass 146filtering prior to taking monthly averaging does not affect our results. The filtered data 147was prepared for the sake of other studies.) We also used precipitation data provided by 148Global Precipitation Climatology Project (GPCP; Adler et al. 2003), whose resolution is 1492.5° x 2.5°. HadISST (Rayner et al. 2003) is used for SST and its resolution is 2.5°x2.5°.

The following WP pattern index (hereafter WP index) defined by WG81 is used in 151this study:

WP index =
$$\frac{1}{2} \{ Z_{500}(60N, 155.25E) - Z_{500}(30N, 155.25E) \}$$
 (1)

152In (1), Z₅₀₀ represents a monthly-mean anomaly of 500-hPa geopotential height 153normalized by its standard deviation at a given location for each calendar month. Note 154that the grid points used in (1) are to the east of their counterpart in WG81 by 0.25°. The 155anomaly of a given variable for a given month is defined as a local deviation from its 15632-year climatological mean for the particular calendar month. The index is suitable for 157extracting a signature of dipolar height anomalies over the western North Pacific. In our 158definition, the positive phase of the WP pattern corresponds to cyclonic and 159anticyclonic anomalies at the southern and northern centers of action, respectively, and 160 vice versa for the negative phase, as in the definition by WG81. Composite maps of 161various anomalous fields are constructed separately for the positive and negative phases 162of the WP pattern. For our composites of strong positive WP events, 18 months are 163 selected for which the WP index is positive and exceeds a unit standard deviation in 164 strength (Table 1). Likewise, 14 months are selected for strong negative WP events. In 165the following, we use the term "event" to denote a selected month. Refer to section 5 for 166 the discussion on the usage of monthly data for the analysis of the WP pattern instead of 167daily data.

168 Linkin and Nigam (2008) argued that the WP pattern is identical to the NPO, posing a question on the appropriateness of the usage of the particular WP index in our 169

170analysis. For verification, we construct "teleconnectivity maps" for monthly anomalies 171of 500-hPa height for boreal winter (DJF), following WG81 but separately for the 172periods 1962/63-1976/77 (Fig. 1a) and 1948/49-2010/11 (Fig. 1b) based on the 173NCEP-R1 data. In each of the maps, what is plotted at a given location is 174"teleconnectivity", defined as the absolute value of the strongest negative correlation 175obtained in height anomalies between the particular location and any of the other 176locations for the 45 months during a given 15-year period. Locations of strong 177teleconnectivity are thus likely to correspond to centers of action of pressure seesaws. 178Constructed for the same period as in WG81, the teleconnectivity map shown in Fig. 1a 179is very similar to their Fig. 7b, in which the two centers of action of the WP pattern are 180 unambiguously depicted as local maxima of teleconnectivity (as indicated in Fig. 1a 181 with red crosses). Two other local maxima in Fig. 1a correspond to centers of action of 182the PNA pattern, one over the central North Pacific and the other over western North 183 America. Figure 1a suggests that in the particular period height variability associated 184 with the WP pattern was as prominent as that with the PNA pattern.

In the corresponding map for 1948/49–2010/11 winters (Fig. 1b), by contrast, the teleconnectivity maxima that correspond to the WP pattern cannot be identified. We speculate that the missing teleconnectivity maxima associated with the WP pattern in Fig. 1b may be due to the dominant variability associated with the PNA pattern over that with the WP pattern in the statistics for the 62-year period. Then, the centers of action of the WP pattern would possibly emerge in the teleconnectivity map if the variability associated with the PNA pattern were statistically removed in the calculation

of the teleconnectivity. To verify this possibility, we first define the following PNAindex:

$$PNA index = \frac{1}{2} \{ Z_{500}(20N, 180E) - Z_{500}(50N, 190E) \} \quad . \quad (2)$$

Note that the two reference grid points in (2) are shifted slightly from their counterpart
in WG81, so as to correspond to the centers of action of the PNA pattern in the period
1948/49–2010/11 recognized as the teleconnectivity maxima in Fig. 1b.

197 To remove the dominant signal of the PNA pattern, we used partial correlation 198 where variability correlated with the PNA index has been removed before evaluating 199 teleconnectivity. See Appendix A for more detail. As shown in Fig. 1c, the signature of 200 the PNA pattern over the North Pacific is therefore no longer recognized. Instead, four 201teleconnectivity maxima are identified within the North Pacific, and two of them are 202 located in the immediate vicinities of the centers of action of the WP pattern (red 203crosses). We have confirmed that strong negative correlation is indeed observed 204between height anomalies at these two locations. The two other teleconnectivity 205maxima around 160°W (blue crosses in Fig. 1c) may correspond to centers of action of 206 another teleconnection pattern. This pattern, if it actually exists, is located so close to 207the WP pattern that the two patterns cannot be spatially orthogonal. It is therefore 208unlikely that they are extracted in separate modes of variability through an empirical 209 orthogonal function (EOF) analysis, as used by Linkin and Nigam (2008) for 210identifying the NPO/WP pattern. Recognizing the clear signature of the WP pattern in 211Fig. 1c, we use the WP index based on its definition as in (1) throughout this study. In

doing so, we expect the obtained variability to be more geographically fixed than thatobtained through EOF analysis.

The other teleconnection pattern suggested in Fig. 1c may be similar to the NPO, although a detailed comparison between the NPO and WP pattern is beyond the scope of this study. The comparable dominance of the WP pattern to the PNA pattern for the period of 1962/63–1976/77 (Fig. 1a) may suggest possible long-term modulations of the WP pattern, which is also beyond the scope of this study.

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3. Three-dimensional structure of the WP pattern

221As shown in Fig. 2a, 500-hPa height anomalies composited for the 18 strongest monthly 222events of the positive WP pattern are characterized by a north-south dipole, in good 223agreement with WG81. The northern anticyclonic anomaly is over eastern Siberia, the 224Kamchatka Peninsula and Sea of Okhotsk, while the southern cyclonic anomaly is 225elongated zonally from the Far East to as far as the Hawaiian Islands. The center of the 226latter anomaly does not coincide with the southern reference grid point for the WP 227index (southern green point in Fig. 2a), but it shifts slightly northeastward. This may be 228because the intraseasonal and interannual variance of 500-hPa height in winter is larger 229over the eastern North Pacific than over the central and western North Pacific 230(Blackmon et al. 1984).

A zonal-height section for 30°N (Fig. 2c), the latitude very close to that of the center of the southern anomaly of the WP pattern, shows that the phase of the composited height anomaly tilts westward with height in the lower and mid-troposphere.

234Furthermore, a meridional cross-section for 155°E (Fig. 2e), the longitude of the two 235reference grid points for the WP index, shows a southward tilt of the height anomalies 236with height in the lower and mid-troposphere. The southward tilt in the mid-troposphere 237may not necessarily be unambiguous, but it is consistent with westward heat flux, as 238shown in Fig. 6c. This baroclinic structure of the WP pattern differs from the findings 239by the previous studies that show equivalent barotropic structure of low-frequency 240variability over the oceans (e.g., Blackmon et al. 1979; Hsu and Wallace 1985). The 241apparent barotropic structure of low-frequency anomalies may be due to coarse 242horizontal and vertical resolutions of observational data at that time. In fact, some 243previous studies pointed out that baroclinic structure of the WP and PNA patterns is manifested as a westward phase tilt with height (e.g., Black and Dole 1993; Black 1997; 244245Linkin and Nigam 2008). However, the southward phase tilt has never been pointed out. 246This baroclinic structure implies baroclinic energy conversion from the 247climatological-mean flow into the WP pattern, which will be quantified in section 4.

248As the composite anomalies of the negative WP pattern (Figs. 2b, d, f) look almost 249like a mirror image of their positive counterpart (Figs. 2a, c, e), we focus only on its positive phase in the following. In the positive WP pattern, a cold anomaly with the 250251enhanced northwesterlies is observed near the surface over the East China Sea and south 252of Japan (Fig. 3a). This is consistent with Takaya and Nakamura (2005a, b), who 253pointed out that a blocking high whose height anomaly has a strong projection onto the 254WP pattern tends to amplify the surface Siberian High and thereby give rise to a cold 255surge over East Asia. Cold anomalies with the anomalous westerlies are also observed

256in the lower and mid-troposphere over the East China Sea and south of Japan (Figs. 2573b-c). Around the Sea of Okhotsk, by contrast, a warm anomaly is observed with 258anomalous easterlies in the lower and mid-troposphere (Figs. 3b-c). These wind and 259temperature anomalies are consistent with the baroclinic structure of the WP pattern 260with a southward phase tilt (Fig. 2e) and equivalent to a westward heat flux (Fig. 3c). 261Acting on the zonal gradient in climatological temperature between the warmer Pacific 262and cooler Asian Continent, this westward heat flux is down-gradient and thus implies 263baroclinic energy conversion from the climatological-mean flow to the WP pattern.

264In the upper troposphere (Fig. 3d), the positive WP pattern accompanies anomalous 265easterlies and westerlies to the north and south, respectively, which suggests southward 266shift of the jetstream over the western North Pacific. The midlatitude anomalous 267easterlies are consistent with the suppression of stormtrack activity, as indicated by 268anomalous lower-tropospheric poleward heat flux associated with sub-weekly 269disturbances (Fig. 3e) and by upper-tropospheric variance of sub-weekly fluctuations in 270the meridional wind velocity (Fig. 3f). The weakening of the stormtrack activity is 271consistent with the relaxed tropospheric meridional temperature gradient in association 272with the positive WP pattern (not shown).

Diabatic heating anomalies associated with the WP pattern are observed mainly in the lower and mid-troposphere. In the lower troposphere, a positive anomaly of diabatic heating is observed over the midlatitude/subtropical North Pacific, while a negative anomaly is over the Sea of Okhotsk and Bering Sea (Fig. 4a). The former and latter anomalies seem consistent with the local enhancement and reduction, respectively, of

278sensible heat flux (SHF) from the ocean to the atmosphere (Fig. 4c). As diabatic heating 279of the ERA-Interim reanalysis dataset is not available, we analyzed two other reanalysis 280datasets of NCEP-R1 and JRA-25 (Onogi et al. 2007) that provide diabatic heating data. 281We have confirmed that those diabatic heating anomalies are mainly due to anomalous 282vertical diffusion (not shown), which is consistent with our speculation. Induced by 283strengthening and weakening of cold air outflow from the continent (Figs. 3a-b), the 284enhancement and reduction of upward SHF, respectively, associated with the WP 285pattern act to cool and warm the ocean surface over the respective domains and thereby 286force the SST anomalies as observed (Fig. 4b). In fact, those SST anomalies are hardly 287observed in the preceding months of the positive WP events selected for the 288compositing (not shown). A recent numerical study has suggested that SST anomalies 289similar to Fig. 4b can generate anomalous atmospheric circulation like the positive WP 290pattern (Hurwitz et al. 2012). Thus, there may be positive feedback between the WP 291pattern and associated SST anomalies. In the mid-troposphere, a positive diabatic 292heating anomaly observed over the eastern North Pacific (Fig. 4d) corresponds to 293enhanced precipitation (Fig. 4e), which is mainly due to enhanced convective heating, 294as represented in the NCEP-R1 and JRA-25 data. The enhanced convective 295precipitation around 30°N is consistent with reduced static stability associated with the 296cyclonic anomaly (Figs. 3b-c).

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298 4. Energetics of the WP pattern

299 To examine mechanisms for the maintenance of the monthly anomalies associated with

300 the WP pattern, we quantify each of the energy conversion/generation terms relevant to 301 the WP pattern based on its composited anomalies. Making composite maps based on 302 all the selected WP pattern events should suppress circulation anomalies that were 303 unrelated to the WP pattern in the individual months, often observed far from the North 304 Pacific. This procedure is necessary for our assessment of the energetics of the WP 305pattern. If energetics were evaluated for the individual events before making composite, 306 those circulation anomalies unrelated to the WP pattern could contribute to the energy 307 conversion/generation terms that are essentially quadratic with respect to local 308 anomalies and the energetics evaluated could therefore be substantially contaminated.

309 Barotropic KE energy conversion (or conversion; CKfrom the 310 climatological-mean flow into the anomalies associated with the WP pattern has been 311 estimated, as in Hoskins et al. (1983), Simmons et al. (1983), and Kosaka and 312Nakamura (2006, 2010):

$$CK = \frac{{v'}^2 - {u'}^2}{2} \left(\frac{\partial \bar{u}}{\partial x} - \frac{\partial \bar{v}}{\partial y} \right) - u'v' \left(\frac{\partial \bar{u}}{\partial y} + \frac{\partial \bar{v}}{\partial x} \right).$$
(3)

Here, the overbars and primes denote climatological-mean quantities and monthly-mean anomalies, respectively, and positive *CK* means that KE, defined as $(u'^2 + v'^2)/2$ for the anomalies, is converted from the climatological-mean flow into the anomalies. Refer to Appendix B for the derivation of (3). Figure 5 shows a map of *CK* evaluated at the 250-hPa level, where horizontal wind shear is particularly strong climatologically along the Pacific jet. As shown in Fig. 5a, positive *CK* maxima are observed to the northern and southern flanks of the Pacific jet downstream of its core region. The first term of

320 CK in (3), hereafter referred to as CKx, contributes positively to CK on the northern and 321 southern portions of the jet exit region (Fig. 5b), where anomalous easterlies and 322 westerlies, respectively, induce anomalous advection of the climatological westerly 323 momentum $(-u' \partial \bar{u}/\partial x)$ that acts to reinforce themselves. The second term of CK in (3), hereafter referred to as CKy, also contributes positively in the southern portion of 324325 the jet exit (Fig. 5c), where the anomalous winds have a slight northerly component and 326 thus yield anomalous advection of climatological westerly momentum across the 327 meridional shear of the climatological jet $(-v'\partial \bar{u}/\partial y)$ that acts to reinforce the 328 anomalous winds. Positive CKy is also observed on the northern flank of the jet core, 329 across which the anomalous northeasterlies yield anomalous easterly advection to reinforce themselves. 330

Baroclinic energy conversion (*CP*) through which APE, defined for the anomalies as $(R/pS_p)(T'^2/2)$, is converted from the climatological-mean state to the monthly anomalies is estimated, as in Kosaka and Nakamura (2006, 2010):

$$CP = -\frac{R}{pS_p} \left(u'T' \frac{\partial \bar{T}}{\partial x} + v'T' \frac{\partial \bar{T}}{\partial y} \right).$$
(4)

Here, $S_p = (R/p) [(RT/pC_p) - dT/dp]$ evaluated for the climatological-mean state, where and C_p denote the gas constant and the specific heat at constant pressure (p), respectively. Figures 6a and 6b show maps of *CP* evaluated at the 500 and 850-hPa levels, respectively, for the positive WP pattern, Positive *CP* maxima are evident to the south of the northern reference point and to the west of the southern reference point of the WP pattern. The northern and southern *CP* maxima are mainly contributed to by the 340 first (CPx) and second (CPy) terms, respectively, of (4). Around the northern CP341 maximum around the Sea of Okhotsk and western Bering Sea, background zonal 342 temperature gradient is strong associated with the climatological-mean planetary waves 343 (Fig. 6c) and thermal contrasts between the warmer Pacific Ocean and colder Eurasian continent (Fig. 6d). Acting on this temperature gradient, the westward heat flux, which 344 345reflects the southward-tilting height anomalies as discussed before (Figs. 2e and 3c), 346 yields large positive CP. Contrastingly around the southern CP maximum over the 347 midlatitude/subtropical western Pacific, APE is converted mainly through poleward 348heat flux acting on the climatologically strong temperature gradient (Fig. 6c) 349 accompanied by the Pacific jet (Fig. 3c). The poleward heat flux is consistent with 350 baroclinic structure of the WP pattern with the westward-tilting height anomalies (Fig. 3512e). In the lower troposphere (Fig. 6b), those positive CP maxima are contributed to 352 also by relatively low static stability (i.e., small S_p), which arises climatologically from 353 the monsoonal outflow of cold continental air onto the warmer ocean. It should be noted 354that negative CP values, which are particularly noticeable in Fig. 6a, are quite small and 355 therefore make no significant contributions to the hemispherically integrated CP.

Anomalous APE generation by anomalous diabatic heating (CQ) is defined as,

$$CQ = \frac{R}{pC_pS_p}T'Q',\qquad(5)$$

357 where Q on the RHS denotes diabatic heating. In the mid-troposphere (Fig. 7a), 358 negative CQ over the central/eastern North Pacific south of 40°N results from 359 anomalous diabatic warming due to increased precipitation (Figs. 4d-e) over the cold 360 cyclonic anomaly of the WP pattern (Fig. 3c). In the lower troposphere (Fig. 7b), warm 361 and cold anomalies tend to be damped by heat exchanges with the underlying ocean in 362 the form of negative and positive SHF anomalies, respectively (Figs. 3b and 4c), 363 yielding negative CQ (Fig. 7b). Those anomalies in air temperature and SHF arise from 364 modulated cold surges in association with the positive WP pattern, as mentioned in the 365 preceding section.

Previous studies pointed out the importance of feedback forcing from transient eddies in the maintenance of the WP pattern (Nakamura et al. 1987; Lau 1988). The KE and APE gains for the monthly WP pattern due to modulated activity of high-frequency transient eddies can be evaluated as follows;

$$CK_{HF} = -u' \left(\frac{\partial (u''u'')'}{\partial x} + \frac{\partial (u''v'')'}{\partial y} \right) - v' \left(\frac{\partial (u''v'')'}{\partial x} + \frac{\partial (v''v'')'}{\partial y} \right), \quad (6)$$
$$CP_{HF} = -\frac{RT'}{pS_p} \left(\frac{\partial (u''T'')'}{\partial x} + \frac{\partial (v''T'')'}{\partial y} \right). \quad (7)$$

In (6) and (7), the double primes denote 8-day high-pass-filtered, sub-weekly fluctuations associated with transient eddies, and their products implicitly denote monthly statistics. Refer to Appendix A for the derivation of (6), and (7) can be derived in a similar manner. Hereafter, the KE and APE gains defined as (6) and (7) are referred to as barotropic feedback (CK_{HF}) and baroclinic feedback (CP_{HF}), respectively.

Figure 8a shows CK_{HF} at the tropopause level, where not only the monthly wind anomalies but also sub-weekly wind fluctuations tend to be larger than at any other levels. In agreement with previous studies (Lau 1988; Lau and Nath 1991), upper-tropospheric CK_{HF} contributes overall positively to the maintenance of the 379 monthly WP pattern by acting to increase KE over the central and eastern North Pacific 380 (Fig. 8a), most prominently in the downstream portion of the stormtrack core. Since 381synoptic-scale eddies migrating along the stormtrack act to accelerate the westerlies 382 through converging eddy momentum fluxes, the weakening of the stormtrack activity 383 associated with the positive WP pattern induces anomalous easterly acceleration with 384anomalous divergence of eddy momentum flux where the monthly anomalies are 385 easterly (Fig. 8c). Likewise, anomalous convergence of a southerly momentum flux 386 associated with transient eddies that acts to reinforce the anomalous southerlies over the 387 eastern North Pacific contributes positively to CK_{HF} (Fig. 8e).

388 In contrast to CK_{HF} , CP_{HF} overall contributes negatively to the maintenance of the 389 monthly WP pattern (Fig. 8b), as anomalous heat flux associated with transient eddies is 390 generally down-gradient and thus acting to relax anomalous temperature gradient 391 associated with the monthly WP pattern, as shown in the previous studies (e.g., Lau and 392 Nath 1991). The weakening of the stormtrack activity associated with the positive WP 393 pattern accompanies reduced poleward eddy heat flux associated with transient eddies 394 (Fig. 3c), thereby yielding anomalous convergence and divergence of the flux where 395 cold and warm anomalies are located to the south and north of the stormtrack axis, 396 respectively (Fig. 8d). In this manner, transient eddies act to damp the monthly-mean 397 thermal anomalies and thereby reduce APE associated with the WP pattern, in acting to 398 render the monthly anomalies less baroclinic.

For a more quantitative assessment of the energetics for the monthly WP pattern,
we integrated each of the energy conversion/generation terms horizontally over the

401 entire extratropical Northern Hemisphere (20°~90°N, 0°~360°E) and vertically from the 402 surface to the 100-hPa level. Dividing this value by the sum of KE and APE (i.e., the 403 total energy) of the monthly WP pattern that has been integrated over the same 404 three-dimensional domain yields the efficiency of each of those terms, which is shown 405in Table 2. In this table, a negative value signifies that the particular term contributes 406 negatively to the maintenance of the WP pattern. It should be noted that energy flux 407 terms on the RHS of (B9) in Appendix B, which represent energy redistribution by 408 wavy anomalies and climatological-mean flow, should be negligible after integrated 409 over the entire extratropical Northern Hemisphere. The third term on the RHS of (B9) 410 represents energy conversion from APE to KE and therefore need not be discussed as 411 long as the total energy is considered.

412Among the several conversion/generation terms listed in Table 2, the process that 413can contribute to the maintenance of the total energy of the monthly WP pattern with 414 the highest efficiency is baroclinic energy conversion (CP), through which the total 415energy of the WP pattern could be replenished within five days. Barotropic energy 416 conversion (CK) can also contribute positively to the maintenance of the WP pattern, 417but its efficiency is less than one third of that of CP. Through their evaluation of CK 418and KE only at the 500-hPa level, Nakamura et al. (1987) argued that barotropic energy 419 conversion may be important for the maintenance of the WP pattern, but the present 420 study reveals the greater importance of baroclinic processes. In agreement with Lau 421(1988), barotropic feedback from transient eddies (CK_{HF}) is also important for the 422maintenance of the WP pattern, but its efficiency is only 40% of that of CP.

423 Furthermore, the net contribution from transient eddies is even less important; its 424efficiency is only 10% of that of CP, because of the large offset by the negative 425contribution through CP_{HF} (Lau and Nath 1991). When combined, all the energy 426 conversion terms with the climatological-mean flow and transient eddies are highly 427efficient in the maintenance of the monthly anomalies of the WP pattern, as their total 428energy could be replenished within only three or four days. This efficiency appears to 429be sufficient for maintaining the WP pattern against thermal and frictional damping. As 430 indicated in Table 2, anomalous diabatic heating as a net acts as thermal damping, 431through which the total energy can be consumed within 10 days. The efficiency of the 432frictional damping cannot be evaluated from the dataset. The same evaluation of the 433 energetics has been repeated for the composited anomalies for the negative phase of the 434 WP pattern, and qualitatively the same result is obtained as shown in Table 2.

Table 2 shows that the conversion from APE to KE (hereafter CPK), if integrated 435436 over the extratropical Northern Hemisphere within the full depth of the troposphere, is 437 virtually zero for the positive phase of the monthly WP pattern and negligible for its 438 negative phase. In agreement with previous studies, KE is maintained for the WP 439pattern primarily through barotropic feedback forcing from synoptic-scale transient 440 eddies and also through KE conversion from the climatological westerlies. Reflecting 441 the baroclinic nature of the WP pattern, associated APE is nevertheless comparable to 442KE (with only 10~20% difference), and APE is maintained solely by CP under the 443destructive contributions from transient eddies and diabatic processes.

In the present study, we use 8-day high-pass filtering to extract sub-weekly

445fluctuations associated with high-frequency transient eddies. We repeated the above 446 evaluation based on (6) and (7), by using sub-monthly fluctuations defined locally as 447daily deviations from the monthly averages in place of sub-weekly fluctuations. The 448 evaluation indicates that $CK_{\rm HF}$ thus evaluated contributes positively with efficiency of 4490.09 and 0.05 (i.e., replenishing time scales of 11 and 20 days, respectively) for the 450positive and negative phases of the WP pattern, respectively. The efficiency is more or 451less comparable to its counterpart based on sub-weekly fluctuations (Table 2). The $CP_{\rm HF}$ based on sub-monthly fluctuations contributes negatively (-0.21 and -0.20 for the 452453positive and negative phases, respectively) with efficiency that is about three times higher than that based on sub-weekly fluctuations. Thus the net contribution from 454455sub-monthly fluctuations to the maintenance of the WP pattern is negative, which is in 456sharp contrast to that from sub-weekly fluctuations. Our evaluations therefore suggest 457that the counteracting effect of quasi-stationary sub-monthly fluctuations on the 458monthly WP pattern.

459

460 **5. Summary and discussion**

Through composite analysis, we have investigated three-dimensional structure of the WP pattern and evaluated the energetics of the composited monthly anomalies associated with the WP pattern to clarify the mechanisms of its maintenance. For the compositing, we selected high-amplitude monthly events of the WP pattern based on the index defined by WG81. At first glance, the anomalies appear to be in equivalent barotropic structure, but a close inspection reveals that it is in baroclinic structure with a southwestward phase tilt with height from the surface to the mid-troposphere. Although
previous studies have suggested the baroclinic nature of the PNA and WP patterns with
a westward phase tilt with height (Black and Dole 1993; Black 1997; Linkin and Nigam,
2008), the southward-tilting height anomalies of the WP pattern have not been pointed
out.

472Energetics of the WP pattern revealed in the present study indicates that baroclinic 473APE conversion from the climatological-mean flow to monthly-mean anomalies 474through a horizontal heat flux contributes most efficiently to the maintenance of the WP 475pattern owing to its baroclinic structure. Of particular importance is the westward 476 component of the heat flux associated with the southwestward-tilting height anomalies 477of the WP pattern. The particular flux component, especially around the northern center 478of action, yields efficient APE conversion in acting on the eastward gradient of 479climatological-mean tropospheric temperature over the Far East. The eastward 480 temperature gradient reflects thermal contrasts between the warmer Pacific Ocean and 481 the colder Eurasian continent and the climatological-mean planetary waves. The 482southwestward-tilting height anomalies of the WP pattern also yield a poleward heat 483 flux, which also contributes to the APE conversion, especially around the southern 484center of action, in crossing the strong southward temperature gradient associated with 485the Pacific jet. As its southern center of action is close to the jet core region, the WP 486 pattern can induce barotropic KE conversion from the climatological Pacific jet as a 487positive contribution to the maintenance. Its efficiency is, however, found lower than 488that of the baroclinic conversion. More importantly, barotropic feedback forcing due to

489modulated activity of the Pacific stormtrack also contributes positively to the KE 490 maintenance of the WP pattern. The net feedback forcing by transient eddies is, 491however, of secondary importance because of a large offset between the barotropic 492feedback through eddy momentum fluxes that acts to increase KE and the baroclinic 493 feedback through eddy heat fluxes that acts to reduce APE. These processes, most 494importantly the baroclinic APE conversion from the climatological-mean flow, are so 495efficient for the maintenance of the monthly anomalies of the WP pattern against 496 frictional and thermal damping that the total energy of the pattern could be replenished 497within four days. In fact, anomalous diabatic heating acts as an efficient damping 498process for the WP pattern, through enhanced precipitation within the cold cyclonic 499 anomaly in the subtropical Pacific and through anomalous SHF from the ocean due to 500modulated monsoonal outflow from the Eurasian continent.

501Our finding of efficient APE conversion from the wintertime climatological-mean 502flow suggests that the WP pattern has a characteristic of a dynamical mode that can 503maintain itself even without external forcing. The pattern thus owes its existence to the 504particular wintertime climatological-mean conditions over the Far East and the western 505North Pacific, as characterized by the strong Pacific jet and zonal thermal contrasts 506between the warmer Pacific and the cooler Asian continent. Recently, Kosaka and 507 Nakamura (2006, 2010) have found that the summertime PJ pattern also has a 508characteristic of a dynamical mode. Both the WP and PJ patterns are characterized by meridional dipoles of zonally elongated height anomalies over the western North Pacific, 509510and these anomalies are tilting westward with height to allow efficient APE conversion

511from the westerly Pacific jet. Interestingly, the height anomalies associated with the PJ 512pattern are also tilting northward with height, which yields eastward heat flux from the 513warmer Asian Continent into the cooler North Pacific in summer. The opposing 514meridional tilting of height anomalies between the WP and PJ patterns is consistent 515with the seasonal reversal of the thermal gradient between the Asian Continent and 516North Pacific, so as to yield down-gradient heat fluxes for the APE conversion for the 517maintenance of those patterns. Another distinction between the two teleconnection 518patterns can be found in the role of anomalous diabatic heating due to anomalous 519precipitation, which acts as thermal damping for the WP pattern but not for the PJ 520pattern. Rather, anomalous convective heating acts to generate APE for the PJ pattern, 521and anomalous surface winds act to enhance anomalous evaporation and anomalous 522moisture transport for sustaining anomalous convection (Kosaka and Nakamura 2006, 5232010). We therefore argue that the wintertime WP pattern is a dry dynamical mode 524whereas the summertime PJ pattern is a moist dynamical mode.

525The large contribution of the APE conversion shown in this study is apparently 526inconsistent with previous works that emphasize the role of barotropic dynamics in low-frequency variability (e.g., Robinson 1991; Feldstein 2003). Sheng and Dereme 527528(1991), however, have estimated energy conversion in the whole troposphere over the 529entire Northern Hemisphere to show that APE conversion from the climatological-mean 530state to low-frequency variability (with periods longer than 10 days) is larger than the 531KE conversion from high-frequency eddies (with periods shorter than 10 days) to 532low-frequency variability. The findings in this study are consistent with their study,

533 urging us to re-evaluate the role of APE conversion in other teleconnection patterns 534based on state-of-the-art atmospheric reanalysis data. Nevertheless, many of the 535 previous works emphasized equivalent-barotropic structure of low-frequency variability 536and focus only on upper-tropospheric processes. Lau and Nath (1991) pointed out that a 537 momentum-flux contribution by high-frequency transient eddies is larger in the upper 538troposphere than in the lower troposphere, while a heat-flux contribution by 539high-frequency transient eddies that is stronger in the lower troposphere tends to offset 540the momentum-flux contribution in the upper troposphere. This suggests that focusing 541only on an upper-tropospheric level is likely to overemphasize the role of 542high-frequency transient eddies in the maintenance of low-frequency variability.

543While the climatological-mean jet over the North Pacific is considered as a 544merger between the subtropical and eddy-driven jets (Mohri 1953; Nakamura and Sampe 2002; Lee and Kim 2003; Nakamura et al. 2010), Li and Wettstein (2012) 545546argued that the jet variability associated with the WP pattern shows eddy-driven 547characteristics. This may imply an important contribution from transient eddy activity to the maintenance of the WP pattern, which is consistent with positive CK_{HF} in our 548549evaluation. The contribution is, however, not particularly large, presumably because the 550positive CK_{HF} is limited to the central and eastern portions of the midlatitude North 551Pacific (Fig. 8a). For further investigation, we plotted latitudinal profiles of the 552westerlies and the convergence of westerly momentum flux by high-frequency transient 553eddies for the western (155°E) and central (190°E) portions of the North Pacific (Fig. 9). 554Climatologically (Figs. 9a and 9d), the westerly momentum flux by transient eddies is

555convergent and divergent on the poleward and equatorward sides, respectively, of the 556climatological-mean westerly jet axis. This suggests the hybrid nature of the Pacific jet 557as a mixture of subtropical and eddy-driven jets at each of the two longitudes. In the 558central North Pacific, anomalies in the westerlies and eddy westerly acceleration overall 559show mutually coherent profiles for both the positive and negative phases of the WP 560pattern (Figs. 9e-f), which confirms the eddy-driven nature of the jet as was suggested 561by Li and Wettstein (2012). In contrast, the corresponding coherence is not evident in 562the western North Pacific (Figs. 9b-c), which indicates a weaker signature of an 563eddy-driven jet in agreement with smaller CK_{HF} over the western North Pacific (Fig. 8a). 564Nevertheless, along each of the meridians, the jet width is greater for the positive phase 565than for negative phase of the WP pattern, under the stronger stormtrack activity in the 566 positive phase. The full widths at the half maximum of the westerly profiles at 155°E are 18.0° and 15.75° in latitude for the positive and negative phases, respectively, of the 567 568WP pattern (Fig. 9a), while the corresponding values at 190°E are 28.5° and 24.0° (Fig. 569 9d). The jet width fluctuations associated with the WP pattern are thus greater over the 570central Pacific than over the western Pacific. Nevertheless, the overall tendency for the 571Pacific jet to be wider with its stronger intensity is consistent with Nakamura and 572Sampe (2002). Likewise, the displacement of the jet axis between the positive and 573negative phases of the WP pattern is greater at $190^{\circ}E(5.25^{\circ})$ than at $155^{\circ}E(3.00^{\circ})$.

As shown in previous studies (Takaya and Nakamura 2005b; Rivière 2010), a typical time scale of individual events of the WP pattern is shorter than a month. The months selected for compositing in the present study may include multiple events of the

577WP pattern with a particular sign. This study nevertheless focuses on the processes for 578the maintenance of the WP pattern through composite analysis of monthly anomalies, in 579order to compare our results with many previous works on teleconnection patterns 580based on monthly anomalies (e.g., WG81; Linkin and Nigam 2008; Kosaka and 581Nakmaura 2006, 2010). Furthermore, if daily data is used for compositing, one may 582argue that the baroclinic structure and associated APE conversion can arise from 583contamination of transient baroclinic eddies, even if we use low-pass filtering to 584suppress their signature.

585For further deepening our understanding of the formation and decaying 586mechanisms of the WP pattern, we need to examine detailed time evolution of the WP 587 pattern based on daily data, unlike the present analysis where monthly-mean data is 588used. This is because the formation and decaying mechanisms may be different from the maintenance mechanisms. Feldstein (2003) and Michel and Rivière (2011) studied time 589590 evolution of low-frequency anomalies over the North Atlantic. Their results suggest that 591low-frequency eddy vorticity flux associated with decaying anomalies tend to contribute 592negatively to the KE conversion, which appears to be inconsistent with our findings of 593 the monthly WP anomalies. Their results imply that, as they decay, low-frequency 594anomalies may change their horizontal structure and/or their positions relative to the 595 climatological-mean westerly jet streams. In the present study, we focus on persistent 596circulation anomalies of the WP pattern extracted in monthly-mean fields whose 597horizontal structure is assumed to be unchanged. Obviously, this assumption is not valid 598for sub-monthly events of the positive WP pattern, which can be viewed as cyclonic

599 breaking of the planetary-wave trough (Takaya and Nakamura 2005b). Relative 600 importance of each of the energy conversion/generation terms is likely to vary in the 601 course of the life cycle of a sub-monthly event of the WP pattern, which will be studied 602 in our future study.

603 Previous studies have pointed out a linkage between the WP pattern and ENSO 604 (e.g., Horel and Wallace 1981; Kodera 1998), which suggests that the WP pattern can 605 be forced remotely by ENSO. As shown in Appendix C, however, the ENSO-WP 606 relationship is not necessarily strong, suggesting that the WP pattern can emerge solely 607 through internal atmospheric dynamics as a dynamical mode even without remote 608 influence of ENSO. We also need to clarify the impacts of global warming on the WP 609 pattern, in recognition of its influence on both the East Asian winter monsoon and the 610 ozone variability in the polar stratosphere. The findings of the present study may lead us 611 to the speculation that the WP pattern may undergo some modulations by the projected 612 weakening of thermal contrasts between the Asian Continent and the Pacific. Detailed 613 analysis on any possible projected changes in the structure and/or dynamics of the WP 614 pattern will be presented in another paper.

615

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628

629 Appendix A: Partial correlation

630 Consider three variables of X_i , Y_i , and Z_i , and linear regression equations among them:

$$\begin{aligned} X_i &= a_x \, Z_i + b_x + \epsilon_{xi}, \\ Y_i &= a_y Z_i + b_y + \epsilon_{yi}, \end{aligned}$$

631 where a_x , b_x , a_y , and b_y are constant. Then residuals ε_{xi} and ε_{yi} are not correlated with Z_i . 632 Correlation between ε_{xi} and ε_{yi} is the partial correlation between X_i and Y_i without any 633 influence of Z_i . In the calculation of teleconnectivity where variability of the PNA 634 pattern is removed, X and Y correspond to geopotential height at a given pair of grid 635 points, while Z correspond to the PNA index, and the teleconnectivity is based on the 636 correlation between ε_{xi} and ε_{yi} instead of that between X and Y.

637

638 Appendix B: Derivation of CK_{HF} in (6)

639 In the following, derivation of CK_{HF} in (6) is described. CP_{HF} in (7) may be derived in a

640 similar manner. We start with the following quasi-geostrophic momentum equations in

641 pressure coordinate:

$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + v \frac{\partial u}{\partial y} - fv = -\frac{\partial \phi}{\partial x}, (B1)$$
$$\frac{\partial v}{\partial t} + u \frac{\partial v}{\partial x} + v \frac{\partial v}{\partial y} + fu = -\frac{\partial \phi}{\partial y}. (B2)$$

642 Here ϕ designates geopotential. We now have all the variables separated into monthly 643 mean (overbars with superscript m) and higher frequency component (double primes), 644 which yields

Furthermore, applying division of monthly-mean quantities into their climatological
means (overbars) and deviations from them (i.e., anomalies; denoted with primes) to
(B3) yields,

$$\frac{\partial \bar{u}}{\partial t} + \frac{\partial u'}{\partial t} + \bar{u}\frac{\partial \bar{u}}{\partial x} + u'\frac{\partial \bar{u}}{\partial x} + \bar{u}\frac{\partial u'}{\partial x} + u'\frac{\partial u'}{\partial x} + u''\frac{\partial u''}{\partial x} + \left(u''\frac{\partial u''}{\partial x}\right)' + \bar{v}\frac{\partial \bar{u}}{\partial y} + v'\frac{\partial \bar{u}}{\partial y} + \bar{v}'\frac{\partial \bar{u}}{\partial y} + \left(v''\frac{\partial u''}{\partial y}\right)' - f\bar{v} - fv'$$
$$= -\frac{\partial \bar{\phi}}{\partial x} - \frac{\partial \phi'}{\partial x}. \quad (B4)$$

648 Taking the climatological averaging of (B4) yields:

$$\frac{\partial \bar{u}}{\partial t} + \bar{u}\frac{\partial \bar{u}}{\partial x} + \overline{u'\frac{\partial u'}{\partial x}} + \overline{u''\frac{\partial u''}{\partial x}} + \bar{v}\frac{\partial \bar{u}}{\partial y} + \overline{v'\frac{\partial u'}{\partial y}} + \overline{v''\frac{\partial u''}{\partial y}} - f\bar{v} = -\frac{\partial\bar{\phi}}{\partial x}.$$
 (B5)

649 Subtracting (B5) from (B4) leads to:

$$\frac{\partial u'}{\partial t} + u' \frac{\partial \bar{u}}{\partial x} + \bar{u} \frac{\partial u'}{\partial x} + u' \frac{\partial u'}{\partial x} - \overline{u' \frac{\partial u'}{\partial x}} + \left(u'' \frac{\partial u''}{\partial x}\right)' + v' \frac{\partial \bar{u}}{\partial y} + \bar{v} \frac{\partial u'}{\partial y} + v' \frac{\partial u'}{\partial y} - \overline{v' \frac{\partial u'}{\partial y}} + \left(v'' \frac{\partial u''}{\partial y}\right)' - fv' = -\frac{\partial \phi'}{\partial x}.(B6)$$

650 Multiplying (B6) with *u*' and neglecting cubic terms of monthly anomalies (primes)

651 yield:

$$\frac{\partial}{\partial t} \left(\frac{{u'}^2}{2} \right) = -u'u' \frac{\partial \bar{u}}{\partial x} - u'v' \frac{\partial \bar{u}}{\partial y} - u' \left(\frac{\partial u''u''}{\partial x} + \frac{\partial u''v''}{\partial y} \right)' - \bar{u} \frac{\partial}{\partial x} \left(\frac{{u'}^2}{2} \right) - \bar{v} \frac{\partial}{\partial y} \left(\frac{{u'}^2}{2} \right) + fu'v' - u' \frac{\partial \phi'}{\partial x}.$$
 (B7)

652 Similar manipulations applied to (B2) lead to:

$$\frac{\partial}{\partial t} \left(\frac{{v'}^2}{2} \right) = -u'v' \frac{\partial \bar{v}}{\partial x} - v'v' \frac{\partial \bar{v}}{\partial y} - v' \left(\frac{\partial v''v''}{\partial y} + \frac{\partial u''v''}{\partial x} \right)' - \bar{u} \frac{\partial}{\partial x} \left(\frac{{v'}^2}{2} \right) - \bar{v} \frac{\partial}{\partial y} \left(\frac{{v'}^2}{2} \right) - fu'v' - v' \frac{\partial \phi'}{\partial y}.$$
(B8)

653 We assume that the climatological-mean wind is non-divergent, then

$$\frac{\partial \bar{u}}{\partial x} = -\frac{\partial \bar{v}}{\partial y}.$$

654 Advection of geopotential by monthly anomalies can be written as follows:

$$-u'\frac{\partial\phi'}{\partial x} - v'\frac{\partial\phi'}{\partial y} = -u_{g'}\frac{\partial\phi'}{\partial x} - u_{a'}\frac{\partial\phi'}{\partial x} - v_{g'}\frac{\partial\phi'}{\partial y} - v_{a'}\frac{\partial\phi'}{\partial y}$$
$$= -\frac{\partial u_{a'}\phi'}{\partial x} - \frac{\partial v_{a'}\phi'}{\partial y} + \phi'\left(\frac{\partial u_{a'}}{\partial x} + \frac{\partial v_{a'}}{\partial y}\right)$$
$$= -\frac{\partial u_{a'}\phi'}{\partial x} - \frac{\partial v_{a'}\phi'}{\partial y} - \phi'\frac{\partial\omega'}{\partial p}$$
$$= -\frac{\partial u_{a'}\phi'}{\partial x} - \frac{\partial v_{a'}\phi'}{\partial y} - \frac{\partial\omega'\phi'}{\partial p} + \omega'\frac{\partial\phi'}{\partial p} = -\nabla \cdot (V_{a'}\phi') - \omega'\frac{RT'}{p}$$

655 Here, V_a' denotes anomalous three-dimensional ageostrophic motion, and the 656 subscripts g and a signify the geostrophic and ageostrophic wind components, respectively. By combining (B7) and (B8) and utilizing the above expression, we have
finally obtained the following equation that describes local time tendency of kinematic
energy of monthly anomalies (*KE*):

$$\frac{\partial(KE)}{\partial t} = CK + CK_{HF} - \omega' \frac{RT'}{p} - \nabla \cdot (V_a'\phi') - \frac{\partial}{\partial x} (\bar{u}(KE)) - \frac{\partial}{\partial y} (\bar{v}(KE)).$$
(B9)

660 The first two terms CK and CH_{HF} on RHS are defined in (3) and (6), respectively. The 661 third term represents the conversion from APE to KE, which needs not be considered in 662 discussing the total energy (APE + KE), as in our analysis. The fourth term denotes the 663 convergence of ageostrophic geopotential flux associated with propagation of stationary 664 Rossby waves in monthly-mean anomaly fields, and the last two terms together 665 represent the horizontal convergence of the (advective) KE flux associated with the 666 climatological-mean flow. These flux terms represent spatial redistribution of KE by 667 circulation and thus make no net contribution to the energetics if integrated 668 three-dimensionally over the hemisphere as in Table 2.

669

670 Appendix C: The WP pattern and ENSO

Here, we verify a linkage between the WP pattern and ENSO, which have been pointed out by previous studies (e.g., Horel and Wallace 1981; Kodera 1998), but for a longer period. To do so, we classified the 186 winter months (DJF) in the period of 1949/50 – 2010/11, according to phases of the WP pattern (i.e., positive, negative, and neutral) and ENSO (El Niño, La Niña, and neutral). In any of the months of the positive (negative) phase of the WP pattern chosen for the following analysis, the WP index defined in (1) 677 exceeds a unit standard deviation positively (negatively). The other classification of the 678 winter months is based on two definitions of ENSO events used operationally by the 679 Japan Meteorology Agency (JMA) and National Oceanic and Atmospheric 680 Administration / Climate Prediction Center (NOAA/CPC) (Table A1). According to the 681 JMA definition, the occurrence of an El Niño (a La Niña) event is officially identified 682 when 5-month moving averaged Niño 3 index, defined as the SST anomaly averaged 683 within [5 °S~5 °N, 150 °W~90 °W], is 0.5 °C (-0.5 °C) or higher (lower) for at least 6 684 consecutive months. Likewise, the definition by NOAA/CPC of an El Niño (a La Niña) 685event is such that 3-month moving averaged Niño 3.4 index, defined as the SST 686 anomaly averaged within [5 °S - 5 °N, 170 °W - 120 °W], exceeds 0.5 (is below -0.5). 687 As shown in Table A1, those two definitions yield only slight differences, and therefore 688 the discussion below is based mainly on the JMA definition.

689 Table A1 indicates that only a single monthly event of the positive WP pattern 690 was observed during El Niño, which is much fewer than during La Niña (13 months). 691 The negative WP events were more frequent during El Niño (10 months) than during La 692 Niña (6 months). In other words, El Niño tends to set conditions highly unfavorable for 693 the occurrence of the positive phase of the WP pattern, while La Niña tends to set 694 conditions unfavorable for the occurrence of the negative WP pattern. These results 695 seem consistent with previous studies (Horel and Wallace 1981; Trenberth et al. 1998), 696 but Table A1 indicates that the positive WP pattern was observed most frequently when 697 the Tropical Pacific SST is close to normal (i.e., neutral). In other words, the linkage 698 between the WP pattern and ENSO is not particularly strong, and the WP pattern can

699 develop through internal atmospheric dynamics as a dynamical mode even without 700 remote influence of ENSO. Table A1 nevertheless shows that ENSO is still influential 701in determining which phase of the WP pattern is likely to be triggered. In addition, 702 recent studies have suggested that SST anomalies in the western North Pacific 703 (Frankignoul et al. 2011; Hurwitz et al. 2012) and/or in the Sea of Japan (Hirose et al. 7042009) may trigger the WP pattern. Further study is necessary on the mechanisms behind 705the linkage between the WP pattern and SST variability both in the Tropics and 706 extratropics.

707

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Positive	Negative
Jan. 1980	Dec. 1982
Dec. 1980	Jan. 1987
Feb. 1982	Dec. 1987
Jan. 1984	Jan. 1988
Feb. 1984	Jan. 1989
Feb. 1985	Feb. 1989
Feb. 1986	Jan. 1990
Dec. 1989	Feb. 1990
Jan. 1991	Jan. 1992
Feb. 1991	Feb. 1999
Feb. 1994	Feb. 2001
Feb. 1995	Feb. 2006
Dec. 1995	Dec. 2006
Jan. 1997	Jan. 2007
Feb. 2003	
Jan. 2004	
Dec. 2005	
Dec. 2010	

Table 1. The 18 and 14 months selected for composites of the positive and negative

843 phases, respectively, of the WP pattern.

846	Table 2. Efficiency (day ⁻¹) of each of the conversion/generation terms CK, CP, CQ,
847	CK_{HF} , and CP_{HF} , represented in (3) through (7), respectively, in the text. The efficiency
848	represents how fast a particular term alone could replenish the total energy (KE + APE)
849	based on composited monthly-mean anomalies associated with the WP pattern. The
850	total energy and the respective conversion/generation terms are integrated horizontally
851	over the entire extratropical North Hemisphere ($20^{\circ} \sim 90^{\circ}$ N, $0^{\circ} \sim 360^{\circ}$ E) and vertically
852	from the surface to the 100-hPa level. "CPK" represents the efficiency (day ⁻¹) of
853	conversion from APE to KE (the third term of RHS of (B9)) divided by the total energy.
854	"Positive (negative) WP" signifies the efficiency evaluated for the positive (negative)
855	WP pattern.

	Positive WP	Negative WP
СК	0.062	0.048
СР	0.220	0.243
CQ	-0.106	-0.113
CK_{HF}	0.090	0.093
CP_{HF}	-0.065	-0.065
СРК	-0.005	0.023

858	Table A1. Classification of the 186 winter months (DJF) in the period 1949/50 -
859	2010/11 according to phases of the WP pattern and ENSO. Calculation of the WP
860	pattern index is based on (1), which was applied to the NCEP-R1 data. The numbers in
861	parentheses are statistics based on the ENSO definition by NOAA/CPC, while others
862	are based on the definition by JMA.

	El Niño	Neutral	La Niña
WP positive	1(2)	18(19)	13(11)
WP neutral	31(44)	67(42)	32(44)
WP negative	10(14)	8(6)	6(5)

864 Figure captions

Figure 1. Map of teleconnectivity (contoured for every 0.05 for no less than 865 866 0.5) evaluated from monthly 500-hPa height anomalies based on the 867 NCEP-R1 data for winters (DJF) of (a) 1962/63 – 1976/77, the same period as 868 in WG81, and (b) 1948/49 - 2010/11. (c) Same as in (b), but the 869 teleconnectivity is based on partial correlation from which the variability 870 associated with the PNA pattern is excluded. Coloring is to highlight the 871 maxima (contoured for 0.65 and 0.8). Note that significance levels of the 872 teleconnectivity (i.e., negative correlation) at 0.01 evaluated by the Student's 873 t-test on the correlation coefficients with (a) 43 and (b, c) 187 degrees of 874 freedom assumed, are 0.39 and 0.18, respectively. The centers of action of the 875 WP pattern are indicated by a pair of red crosses at (30°N, 155°E) and (60°N, 876 155°E). The two blue crosses in (c) denote another pair of teleconnectivity 877 maxima that are mutually correlated.

878

879Figure 2. Monthly height anomalies (contoured for every 20 m; dashed for negative) 880 composited for the 18 and 14 strongest months of (a, c, e) positive and (b, d, f) negative 881 phases, respectively, of the WP pattern. Yellow (blue) shading represents the anomalies 882 that are positively (negatively) significant at the 95% confidence level based on the 883 *t*-statistic. Green dots represent the reference grid points at $(30^{\circ}N, 155^{\circ}E)$ and $(60^{\circ}N, 155^{\circ}E)$ 884 155°E) used for the definition of the WP index. (a, b) 500-hPa height anomalies over 885 the Asian-Pacific region (20°N~90°N, 65°E~115°W). (c, d) Zonal-height sections for 886 30°N (the latitude close to the southern reference grid point for the WP index (WG81)). 887 (e, f) Meridional sections for 155°E (the longitude for the two reference grid points for 888 the WP index). Zero lines are omitted. Red and blue crosses in (c-f) signify ridges and 889 troughs, respectively. The longitude of 180° and the latitude of 60°N are highlighted 890 with bold vertical lines in (c, d) and (e, f), respectively.

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Figure 3. As in Fig. 2a, but for (a) temperature anomalies at the lowest model level ($\sigma =$ 893 0.995) (countered for every 0.5 K), (b c) temperature anomalies (countered for every 0.5 894 K) at the 850-hPa (b) and (c) 500-hPa levels, (d) 250-hPa zonal wind anomalies (2 m 895 s^{-1}), (e) 850-hPa anomalous heat flux due to transient eddies (2 K m s^{-1}), and (f) 896 variance of 250-hPa meridional wind fluctuations associated with transient eddies (10 897 $m^2 s^{-2}$). Zero lines are omitted. In (a), vectors represent the total (anomaly + 898 899 climatology) wind associated with the WP pattern at the lowest model level. In (b, c), 900 vectors represent the wind anomalies associated with the monthly WP pattern at the 901 corresponding levels. Brown line in (d-f) indicates (d) climatological jet axis, (e) 12 m s^{-1} , and (f) 150 m² s⁻² of corresponding climatological-mean fields. 902

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Figure 4. As in Fig. 2a, but for (a) anomalous diabatic heating at the 850-hPa level (contoured for every 0.5 K day⁻¹), (b) SST anomalies (0.2 K), (c) anomalous upward surface sensible heat flux (5 W m⁻²), (d) anomalous diabatic heating at the 500-hPa level (0.5 K day⁻¹), and (e) precipitation anomalies of GPCP (0.3 mm day⁻¹). Zero lines are omitted.

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910 Figure 5. (a) Local barotropic KE conversion (CK) at the 250-hPa level (shading; 10^{-4} 911 m² s⁻³) associated with the positive WP pattern. Brown contours represent 912 climatological-mean zonal wind (contoured for 30, 40, 50 m s⁻¹, ...) in winter (DJF). (b) 913 As in (a), but for the KE conversion related only to the diffluence/confluence of the 914 climatological-mean jet (*CKx*). Arrows are for 250-hPa wind anomalies (m s⁻¹) 915 associated with the WP pattern. (c) As in (b), but for the KE conversion related mainly 916 to the meridional shear of the climatological-mean jet (*CKy*).

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Figure 6. (a, b) Local baroclinic APE conversion (*CP*) at the (a) 500-hPa and (b) 850-hPa levels (shading; 10^{-4} m² s⁻³) associated with the positive WP pattern. Contours represent the climatological-mean temperature (every 10 K) at the given level. (c, d) As in (a, b), respectively, but for APE conversion related only to the zonal gradient of the climatological-mean temperature (*CPx*). (e, f) As in (a, b), respectively, but for APE conversion related only to the meridional gradient of the climatological-mean temperature (*CPy*).

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Figure 7. APE generation (shading; $10^{-4} \text{ m}^2 \text{ s}^{-3}$) by anomalous diabatic heating (*CQ*) at the (a) 500-hPa and (b) 850-hPa levels, associated with the positive WP pattern.

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Figure 8. (a) Local barotropic KE generation as feedback forcing by anomalous activity of transient eddies (CK_{HF}) at the 250-hPa level (shading; $10^{-4} \text{ m}^2 \text{ s}^{-3}$) associated with the positive WP pattern. (b) As in (a), but for baroclinic APE generation as feedback forcing by anomalous activity of transient eddies (CP_{HF}) at the 850-hPa level. (c) Anomalous flux of westerly momentum at the 250-hPa level associated with transient

eddies (arrows) and its convergence (shading; m s⁻²). Contours represent monthly-mean 934 250-hPa zonal wind anomalies (every 4 m s⁻¹; dashed for anomalous easterlies; zero 935 936 lines are thickened) associated with the positive WP pattern. (d) Anomalous 937 temperature flux at the 850-hPa level associated with transient eddies (arrows) and its convergence (shading; K s⁻¹ Contours represent monthly-mean 850-hPa temperature 938 939 anomalies (every 1 K; dashed for negative; zero lines are thickened). (e) As in (c), but 940 for anomalous flux of southerly momentum. Contours are for monthly-mean 250-hPa meridional wind anomalies (every 2 m s⁻¹; dashed for anomalous northerlies; zero lines 941 942 are thickened).

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Figure 9. Latitudinal profiles of the westerlies (m s^{-1} ; left axis) and westerly momentum 944 convergence by transient eddies (m s⁻¹ (day)⁻¹; right axis) at the 250-hPa level along the 945 946 (a-c) 155°E and (d-f) 190°E meridians. (a,d) Solid black line is for the 947 climatological-mean westerlies, and dashed blue and green lines denote the 948 corresponding profiles to which westerly anomalies composited for the positive and 949 negative events, respectively, of the WP pattern have been added. Red solid line is the 950 climatological-mean convergence of westerly momentum flux associated with 951high-frequency transient eddies. (b, e) Black line is for the westerly anomalies, and red 952 line is for the anomalous convergence of the eddy westerly momentum flux, both 953 composited for the positive events of the WP pattern. (c, f) As in (b, e), respectively, but 954 for the negative events of the WP pattern.

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Figure 1. Map of teleconnectivity (contoured for every 0.05 for no less than 959 960 0.5) evaluated from monthly 500-hPa height anomalies based on the NCEP-R1 data for winters (DJF) of (a) 1962/63 – 1976/77, the same period as 961 962 in WG81, and (b) 1948/49 - 2010/11. (c) Same as in (b), but the 963 teleconnectivity is based on partial correlation from which the variability 964 associated with the PNA pattern is excluded. Coloring is to highlight the 965 maxima (contoured for 0.65 and 0.8). Note that significance levels of the 966 teleconnectivity (i.e., negative correlation) at 0.01 evaluated by the Student's 967 t-test on the correlation coefficients with (a) 43 and (b, c) 187 degrees of 968 freedom assumed, are 0.39 and 0.18, respectively. The centers of action of the 969 WP pattern are indicated by a pair of red crosses at (30°N, 155°E) and (60°N, 970 155°E). The two blue crosses in (c) denote another pair of teleconnectivity 971 maxima that are mutually correlated.



Figure 2. Monthly height anomalies (contoured for every 20 m; dashed for negative) composited for the 18 and 14 strongest months of (a, c, e) positive and (b, d, f) negative phases, respectively, of the WP pattern. Yellow (blue) shading represents the anomalies that are positively (negatively) significant at the 95% confidence level based on the

- *t*-statistic. Green dots represent the reference grid points at (30°N, 155°E) and (60°N, 978 979 155°E) used for the definition of the WP index. (a, b) 500-hPa height anomalies over the Asian-Pacific region (20°N~90°N, 65°E~115°W). (c, d) Zonal-height sections for 980 30°N (the latitude close to the southern reference grid point for the WP index (WG81)). 981 982 (e, f) Meridional sections for 155°E (the longitude for the two reference grid points for the WP index). Zero lines are omitted. Red and blue crosses in (c-f) signify ridges and 983 troughs, respectively. The longitude of 180° and the latitude of 60°N are highlighted 984 with bold vertical lines in (c, d) and (e, f), respectively. 985
- 986



988 Figure 3. As in Fig. 2a, but for (a) temperature anomalies at the lowest model level ($\sigma =$ 989 0.995) (countered for every 0.5 K), (b c) temperature anomalies (countered for every 0.5 990 K) at the 850-hPa (b) and (c) 500-hPa levels, (d) 250-hPa zonal wind anomalies (4 m s^{-1}), (e) 850-hPa anomalous heat flux due to transient eddies (2 K m s^{-1}), and (f) 991 992 variance of 250-hPa meridional wind fluctuations associated with transient eddies (10 $m^2 s^{-2}$). Zero lines are omitted. In (a), vectors represent the total (anomaly + 993 994 climatology) wind associated with the WP pattern at the lowest model level. In (b, c), 995 vectors represent the wind anomalies associated with the monthly WP pattern at the 996 corresponding levels. Brown line in (d-f) indicates (d) climatological jet axis, (e) 12 m s^{-1} , and (f) 150 m² s⁻² of corresponding climatological-mean fields. 997





999Figure 4. As in Fig. 2a, but for (a) anomalous diabatic heating at the 850-hPa level1000(contoured for every 0.5 K day⁻¹), (b) SST anomalies (0.2 K), (c) anomalous upward1001surface sensible heat flux (5 W m⁻²), (d) anomalous diabatic heating at the 500-hPa level1002(0.5 K day⁻¹), and (e) precipitation anomalies of GPCP (0.3 mm day⁻¹). Zero lines are1003omitted.







- 1007 $m^2 s^{-3}$) associated with the positive WP pattern. Brown contours represent
- 1008 climatological-mean zonal wind (contoured for 30, 40, 50 m s⁻¹, ...) in winter (DJF). (b)
- 1009 As in (a), but for the KE conversion related only to the diffluence/confluence of the
- 1010 climatological-mean jet (*CKx*). Arrows are for 250-hPa wind anomalies (m s⁻¹)
- 1011 associated with the WP pattern. (c) As in (b), but for the KE conversion related mainly
- 1012 to the meridional shear of the climatological-mean jet (*CKy*).
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Figure 6. (a, b) Local baroclinic APE conversion (*CP*) at the (a) 500-hPa and (b) 850-hPa levels (shading; 10^{-4} m² s⁻³) associated with the positive WP pattern. Contours represent the climatological-mean temperature (every 10 K) at the given level. (c, d) As in (a, b), respectively, but for APE conversion related only to the zonal gradient of the climatological-mean temperature (*CPx*). (e, f) As in (a, b), respectively, but for APE conversion related only to the meridional gradient of the climatological-mean temperature (*CPy*).



- 1024 Figure 7. APE generation (shading; $10^{-4} \text{ m}^2 \text{ s}^{-3}$) by anomalous diabatic heating (*CQ*) at
- 1025 the (a) 500-hPa and (b) 850-hPa levels associated with the positive WP pattern.



1026 Figure 8. (a) Local barotropic KE generation as feedback forcing by anomalous activity of transient eddies (CK_{HF}) at the 250-hPa level (shading; $10^{-4} \text{ m}^2 \text{ s}^{-3}$) associated with the 1027 positive WP pattern. (b) As in (a), but for baroclinic APE generation as feedback 1028 forcing by anomalous activity of transient eddies (CP_{HF}) at the 850-hPa level. (c) 1029Anomalous flux of westerly momentum at the 250-hPa level associated with transient 1030 eddies (arrows) and its convergence (shading; $m s^{-2}$). Contours represent monthly-mean 1031 250-hPa zonal wind anomalies (every 4 m s⁻¹; dashed for anomalous easterlies; zero 10321033 lines are thickened) associated with the positive WP pattern. (d) Anomalous 1034temperature flux at the 850-hPa level associated with transient eddies (arrows) and its convergence (shading; K s⁻¹ Contours represent monthly-mean 850-hPa temperature 1035anomalies (every 1 K; dashed for negative; zero lines are thickened). (e) As in (c), but 1036 1037 for anomalous flux of southerly momentum. Contours are for monthly-mean 250-hPa meridional wind anomalies (every 2 m s⁻¹; dashed for anomalous northerlies; zero lines 10381039 are thickened).



Figure 9. Latitudinal profiles of the westerlies (m s⁻¹; left axis) and westerly momentum 1042 flux convergence by transient eddies (m s⁻¹ (day)⁻¹; right axis) at the 250-hPa level 1043 1044 along the (a-c) 155°E and (d-f) 190°E meridians. (a,d) Solid black line is for the 1045climatological-mean westerlies, and dashed blue and green lines denote the 1046 corresponding profiles to which westerly anomalies composited for the positive and 1047 negative events, respectively, of the WP pattern have been added. Red solid line is the 1048 climatological-mean convergence of westerly momentum flux associated with 1049 high-frequency transient eddies. (b, e) Black line is for the westerly anomalies, and red 1050 line is for the anomalous convergence of the eddy westerly momentum flux, both 1051composited for the positive events of the WP pattern. (c, f) As in (b, e), respectively, but 1052for the negative events of the WP pattern.